

# Fundamentals of Optical fibers

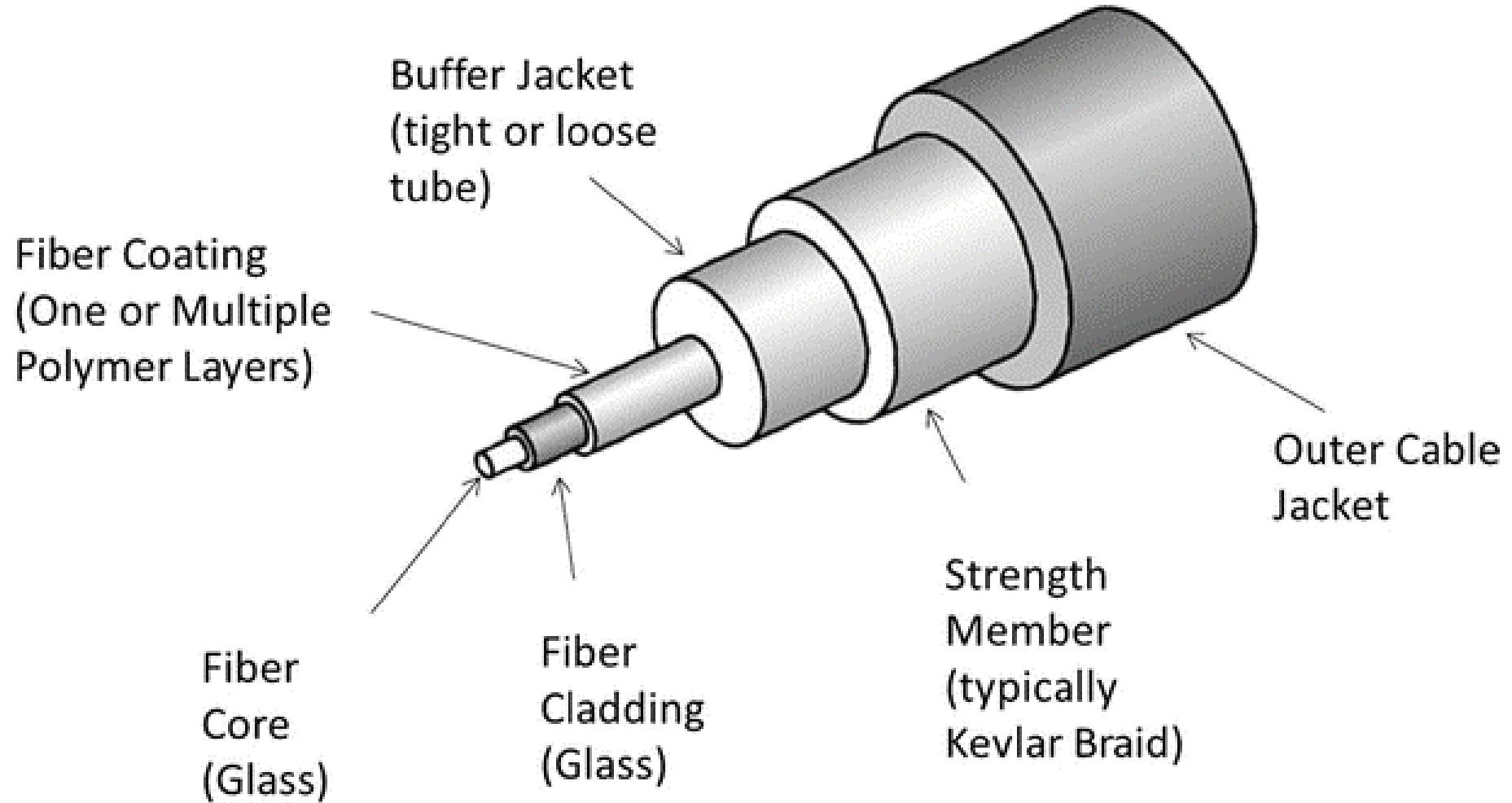
2020. 10. 16.

Wikioptics

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= wavelength change of light in material

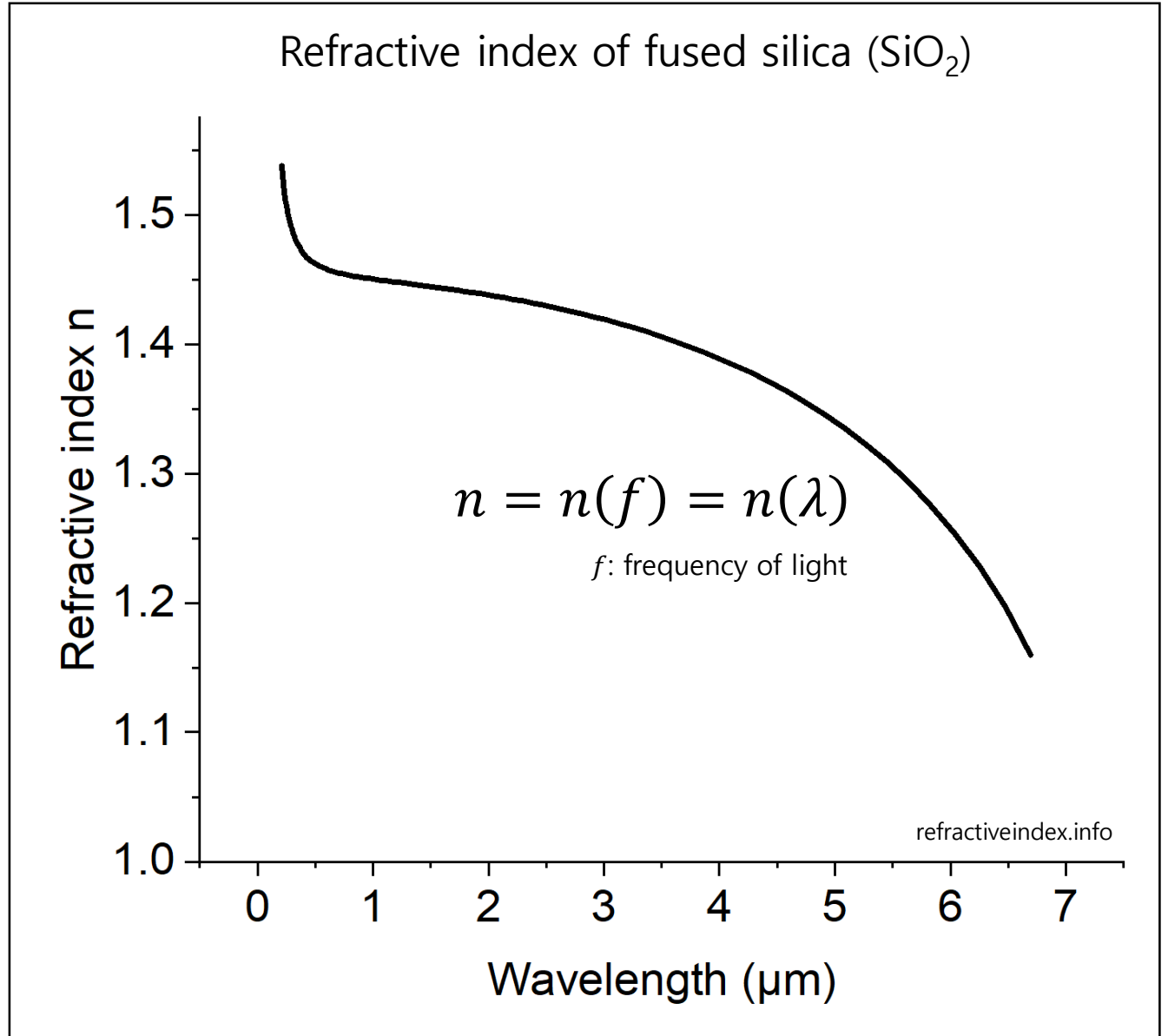
$$v = \frac{c}{n} \quad \lambda' = \frac{\lambda}{n}$$

$n$ : Refractive index of a material  
 $c$ : Speed of light in the vacuum  
 $v$ : Speed of light in a material  
 $\lambda$ : Wavelength in the vacuum  
 $\lambda'$ : Wavelength in a material

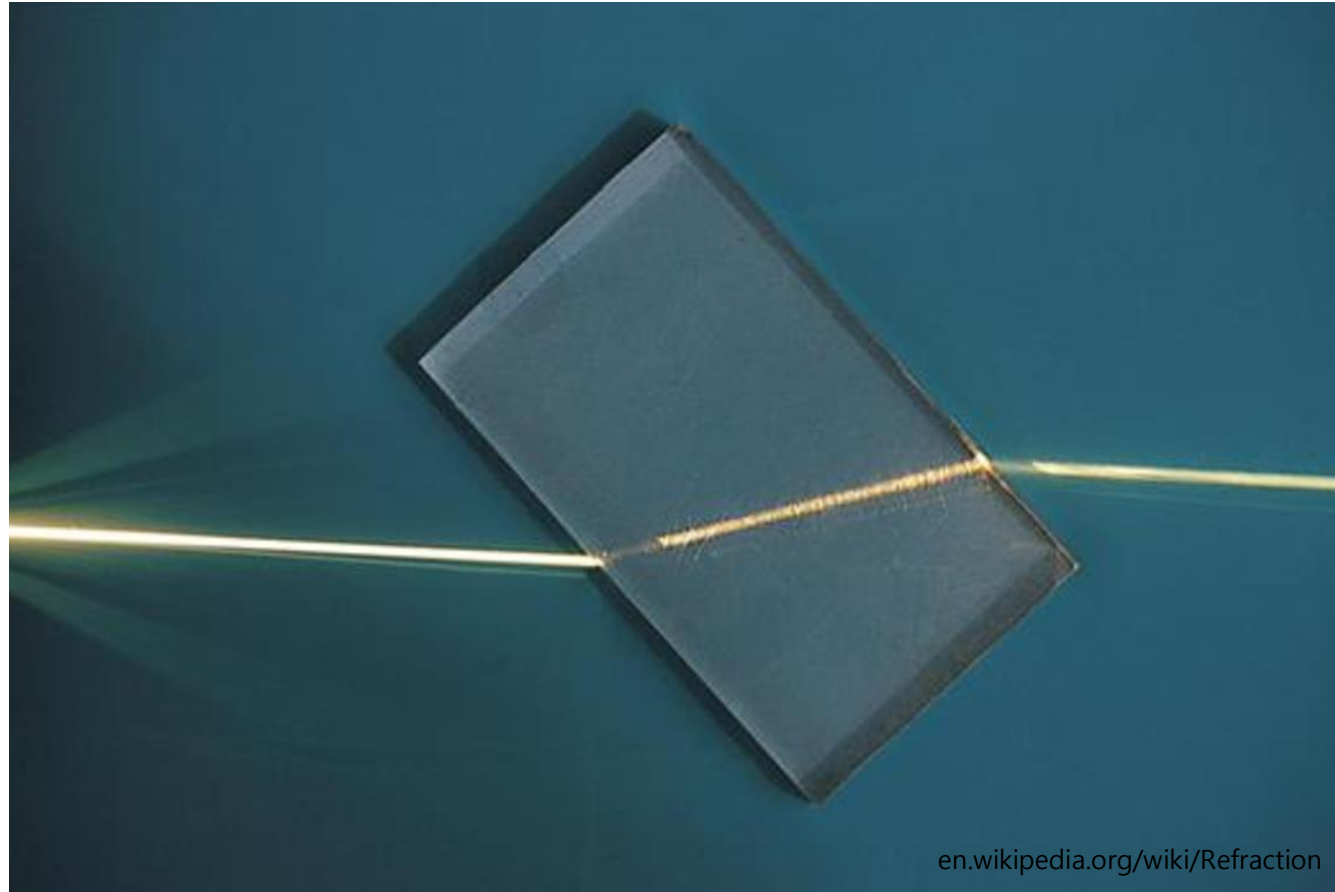
Sellmeier equation for fused silica (SiO<sub>2</sub>)

$$n(\lambda)^2 = 1 + \frac{B_1\lambda^2}{\lambda^2 - C_1} + \frac{B_2\lambda^2}{\lambda^2 - C_2} + \frac{B_3\lambda^2}{\lambda^2 - C_3}$$

$B_1 = 0.696$	$C_1 = 0.0047$
$B_2 = 0.551$	$C_2 = 0.0135$
$B_3 = 6.592$	$C_3 = 97.934$



# Refraction of light in material, due to the "dispersion"

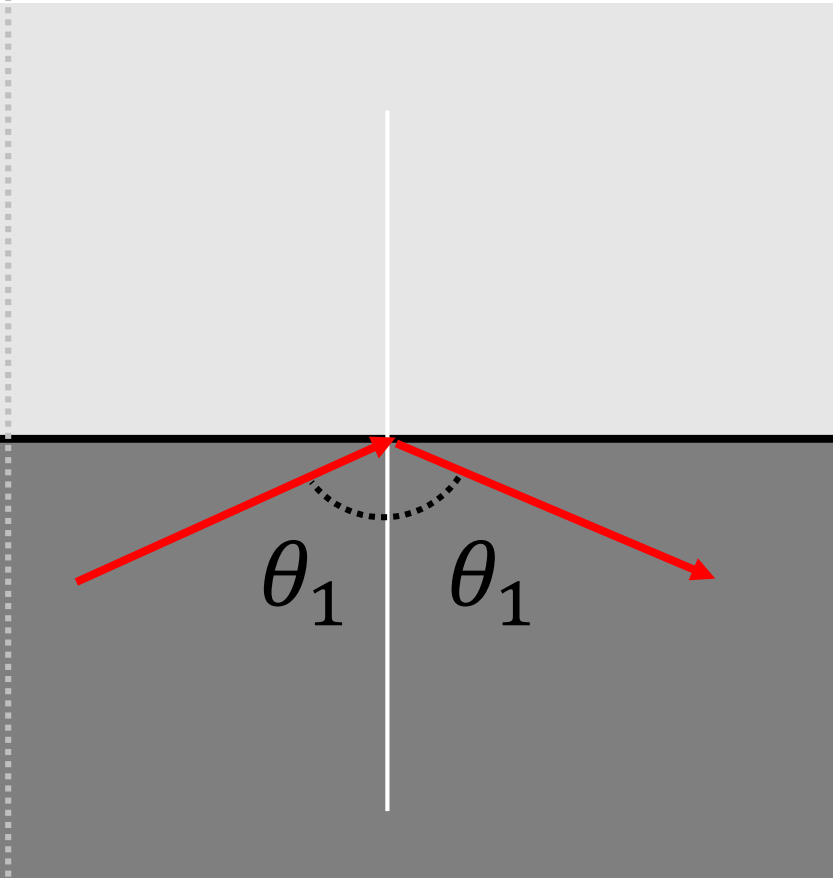
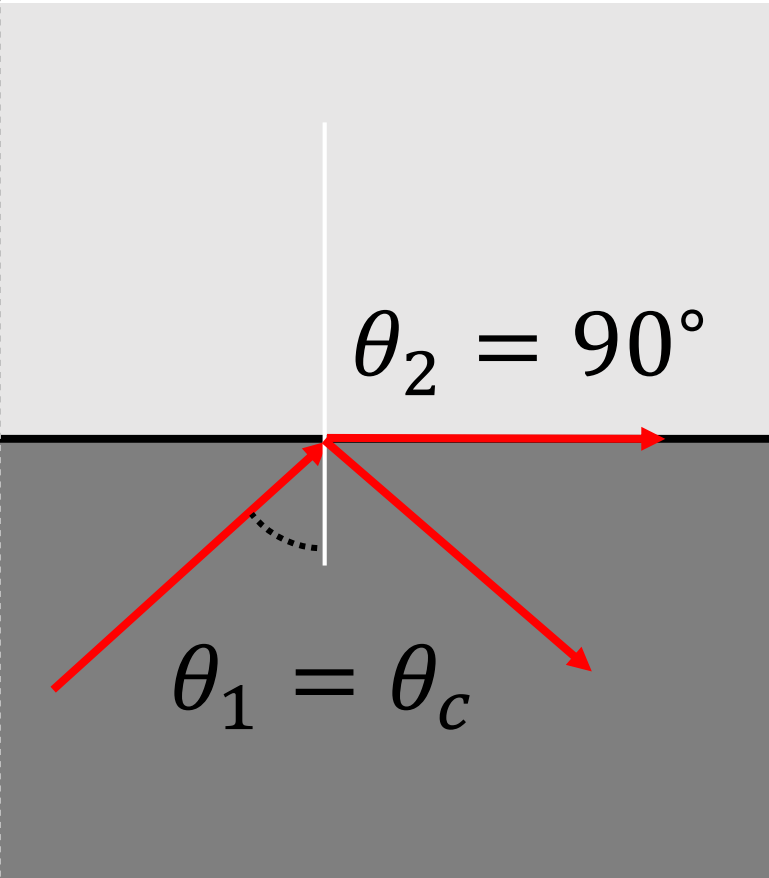
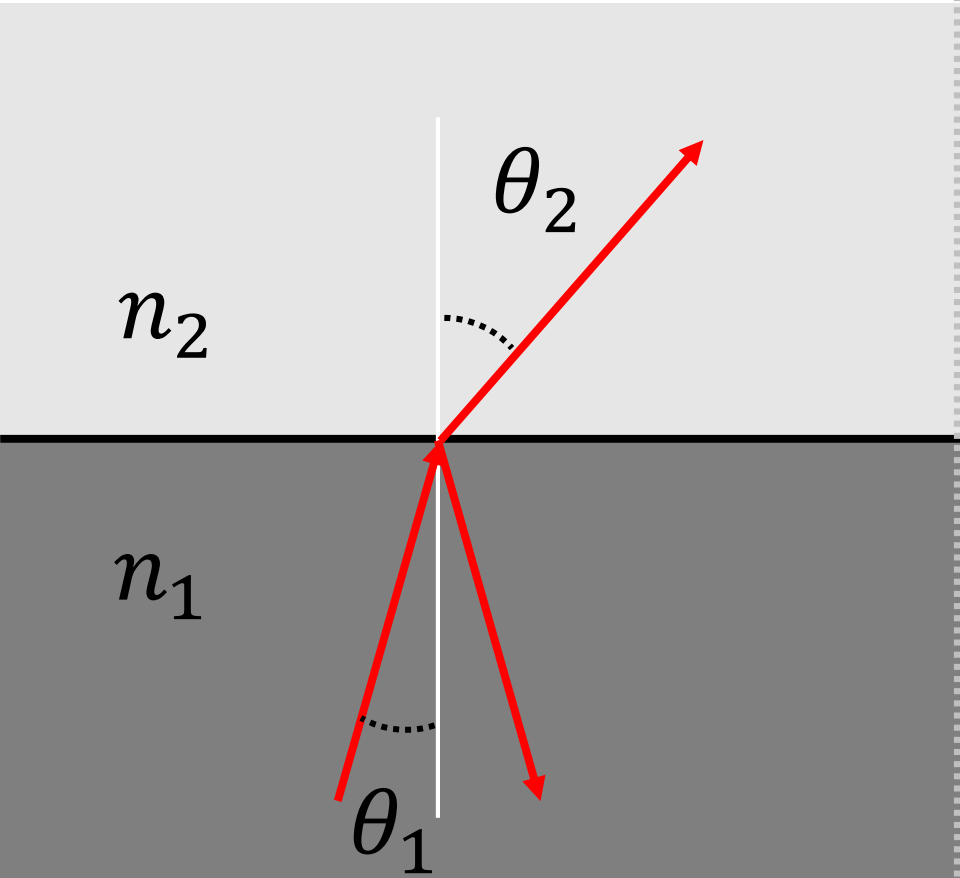


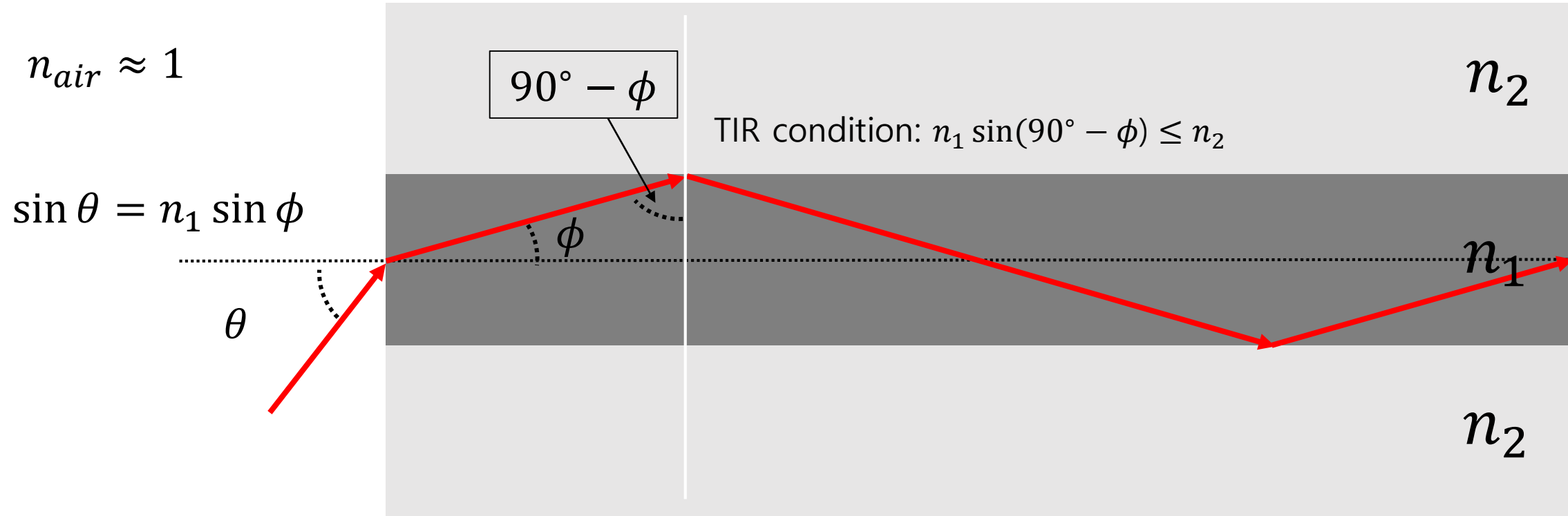


$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$

$$n_1 \sin \theta_c = n_2$$

Total reflection



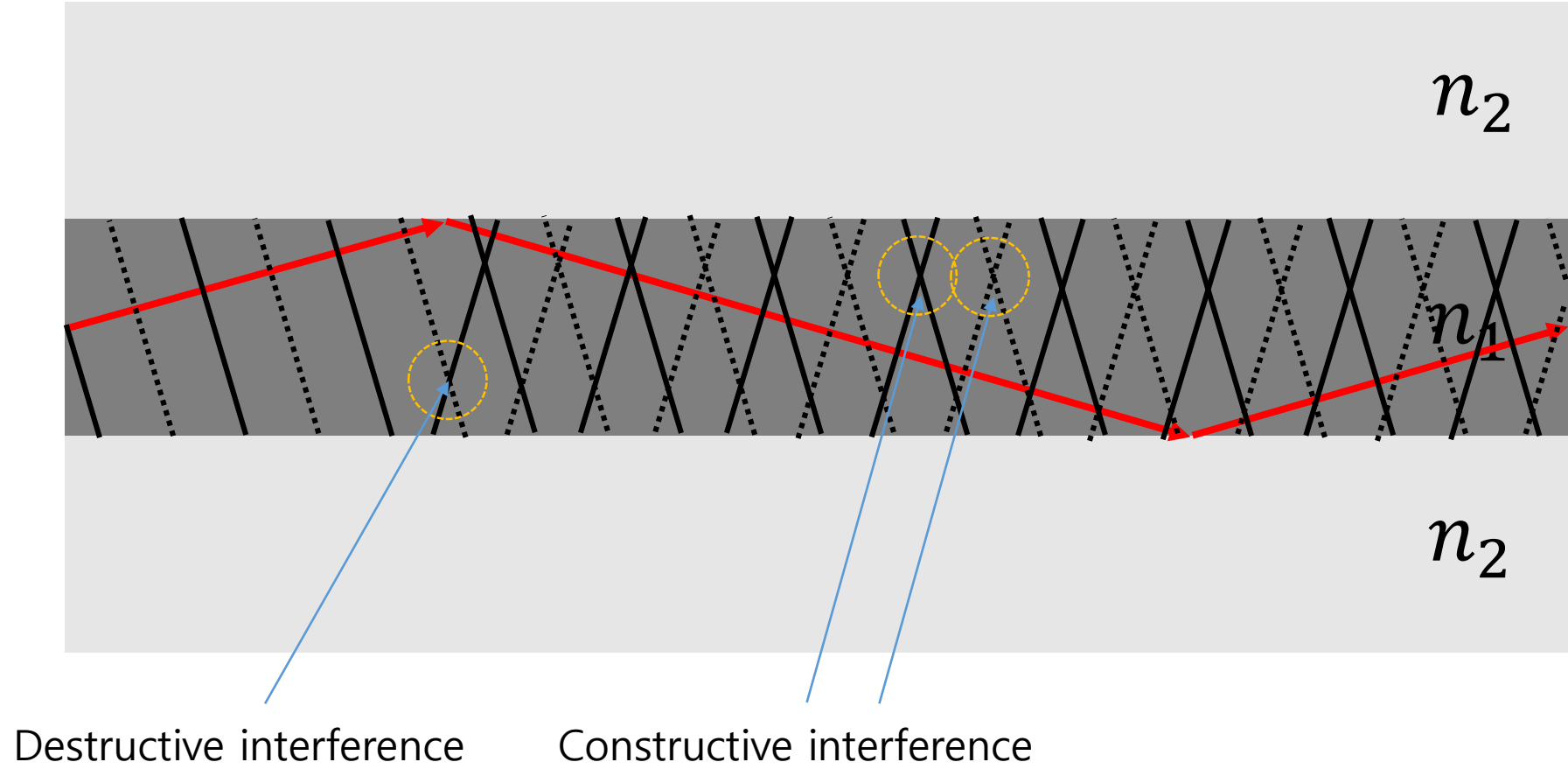


$$\cos \phi \leq \frac{n_2}{n_1} \rightarrow \left( \frac{\sin \theta}{n_1} \right)^2 \leq 1 - \left( \frac{n_2}{n_1} \right)^2 \rightarrow \sin \theta \leq \sqrt{n_1^2 - n_2^2} \rightarrow \theta_{max} = \sin^{-1} \sqrt{n_1^2 - n_2^2}$$

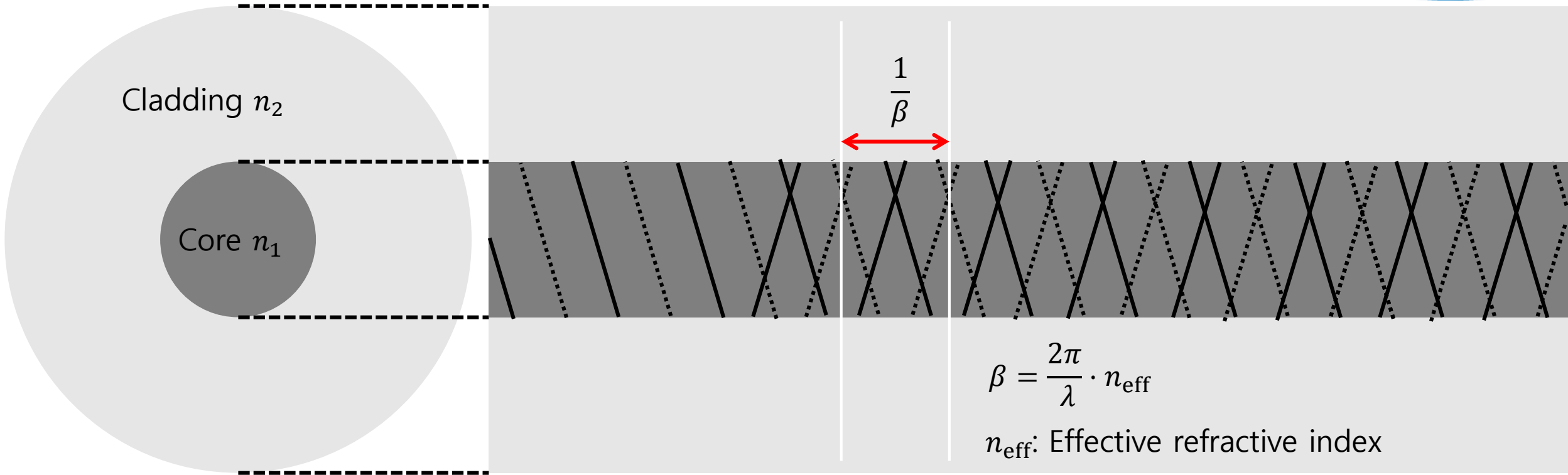
Relative refractive index difference:  $\Delta = \frac{n_1^2 - n_2^2}{2n_1^2} \cong \frac{n_1 - n_2}{n_1}$

Maximum acceptance angle=Numerical aperture:  $NA = \theta_{max} = \sin^{-1} \sqrt{n_1^2 - n_2^2} \cong n_1 \sqrt{2\Delta}$

Normalized frequency, or v parameter:  $v = \frac{2\pi}{\lambda} a \cdot (NA)$







From Maxwell's equation...

$$\frac{\partial^2 \psi}{\partial r^2} + \frac{1}{r} \frac{\partial \psi}{\partial r} + \frac{1}{r^2} \frac{\partial^2 \psi}{\partial \theta^2} + [k^2 n(r, \theta)^2 - \beta^2] \psi = 0$$

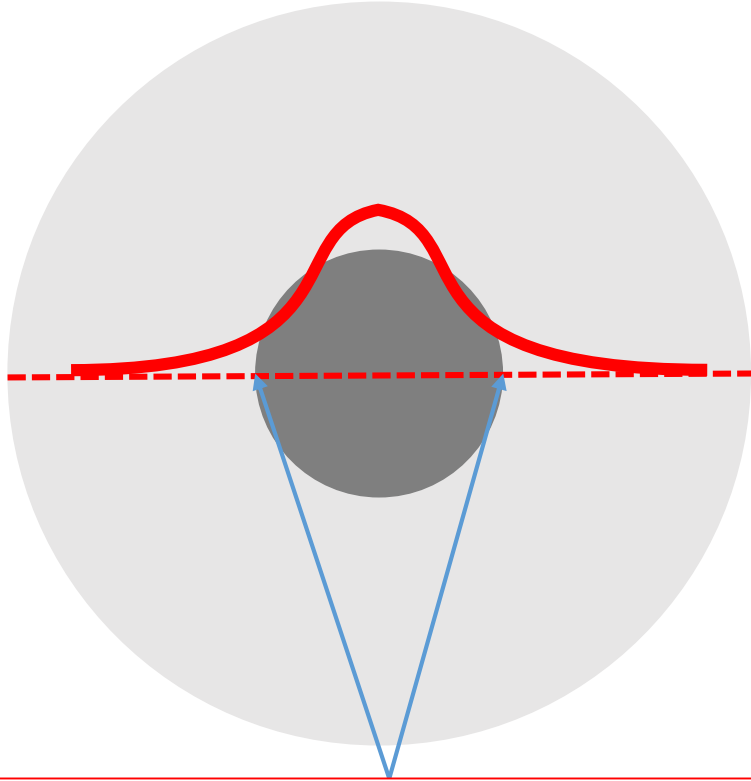
$$\psi = E_z \text{ or } H_z$$

$$k = \frac{2\pi}{\lambda}$$

$n(r, \theta)$  refractive index distribution

Solve this, then you get the modes

# Mode field distribution



Boundary condition: Field must be continuous and differentiable at the boundary

Field equation:  $\frac{\partial^2 \psi}{\partial r^2} + \frac{1}{r} \frac{\partial \psi}{\partial r} + \frac{1}{r^2} \frac{\partial^2 \psi}{\partial z^2} + [k^2 n(r, \theta)^2 - \beta^2] \psi = 0$

$\psi = E_z \text{ or } H_z \quad v^2 = u^2 + w^2$

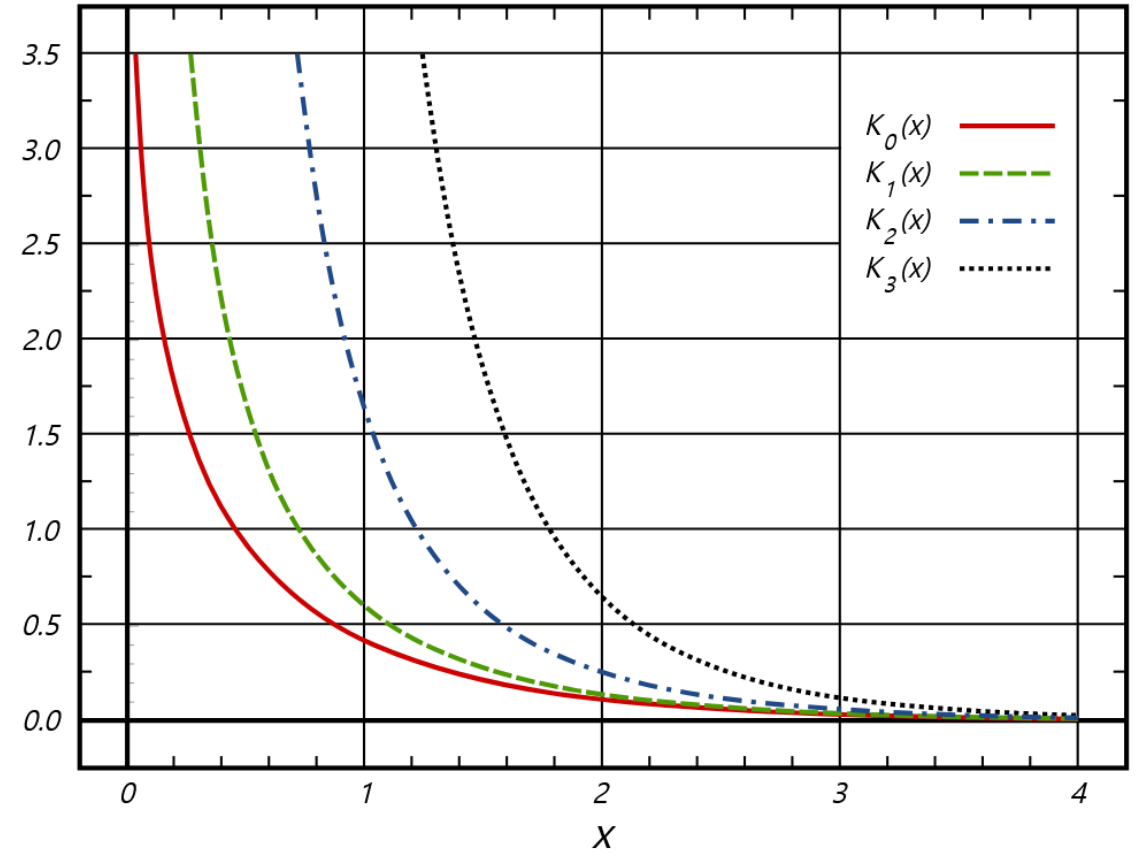
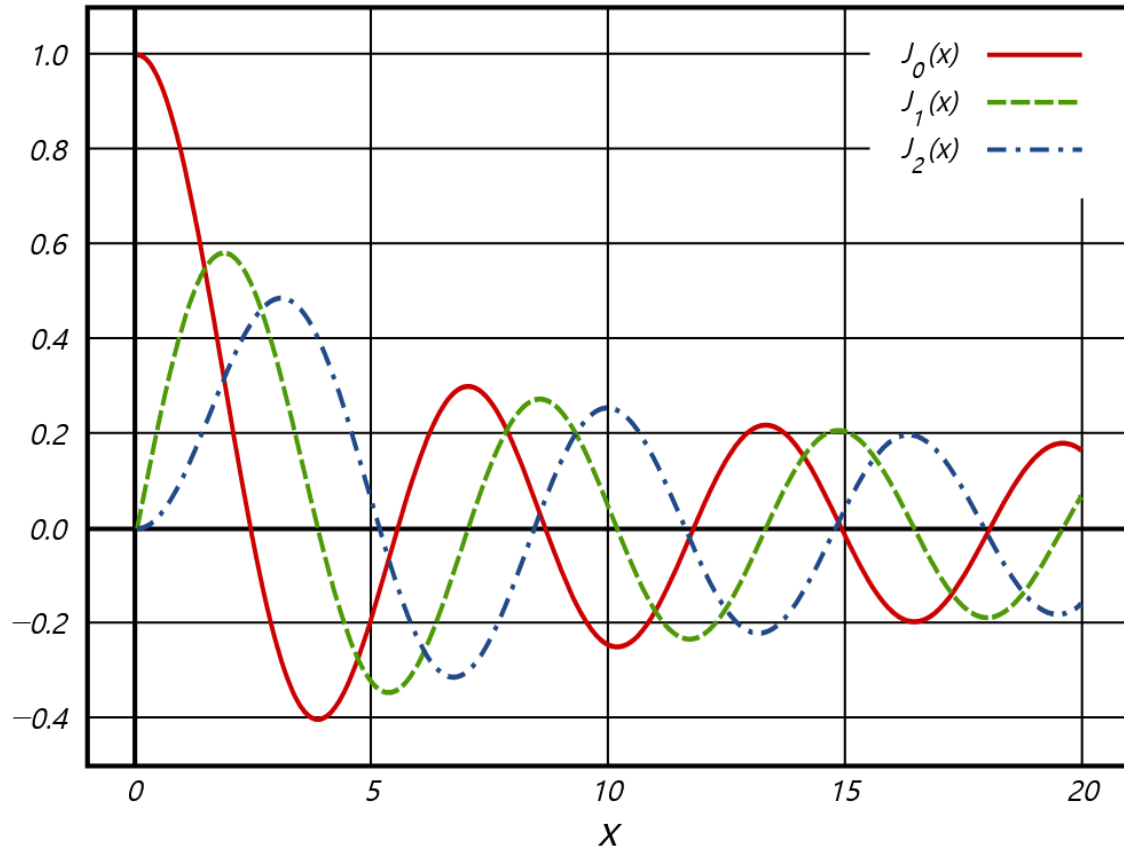
$u = a \sqrt{k^2 n_1^2 - \beta^2}$   
 $w = a \sqrt{\beta^2 - k^2 n_2^2}$

Field solution:  $\psi = A J_\nu \left( \frac{u}{a} r \right) \cos(\nu \theta + \theta_0) \quad (0 \leq r \leq a)$   
 $A \frac{J_\nu(u)}{K_\nu(w)} K_\nu \left( \frac{w}{a} r \right) \cos(\nu \theta + \theta_0) \quad (r > a)$

Mode Determinant conditions from the boundary conditions:  
 TE modes:  $\frac{J_1(u)}{u J_0(u)} = -\frac{K_1(w)}{w K_0(w)}$  (for  $E_z = 0$ )  
 TM modes:  $\frac{J_1(u)}{u J_0(u)} = -\left(\frac{n_2}{n_1}\right)^2 \frac{K_1(w)}{w K_0(w)}$  (for  $H_z = 0$ )  
 Hybrid modes:  

$$\left[ \frac{J'_\nu(u)}{u J_\nu(u)} + \frac{K'_\nu(w)}{w K_\nu(w)} \right] \left[ \frac{J'_\nu(u)}{u J_\nu(u)} + \left(\frac{n_2}{n_1}\right)^2 \frac{K'_\nu(w)}{w K_\nu(w)} \right] = \nu^2 \left( \frac{1}{u^2} + \frac{1}{w^2} \right) \left[ \frac{1}{u^2} + \left(\frac{n_2}{n_1}\right)^2 \frac{1}{w^2} \right]$$

$J_\nu(x)$ : 1<sup>st</sup> kind  $\nu$ -th order Bessel function  
 $K_\nu(x)$ : 2<sup>nd</sup> kind  $\nu$ -th order modified Bessel function



$J_\nu(x)$ : 1<sup>st</sup> kind  $\nu$ -th order Bessel function  
 $K_\nu(x)$ : 2<sup>nd</sup> kind  $\nu$ -th order modified Bessel function

Mode Determinant conditions  
from the boundary conditions:

TE modes:

$$\frac{J_1(u)}{uJ_0(u)} = -\frac{K_1(w)}{wK_0(w)}$$

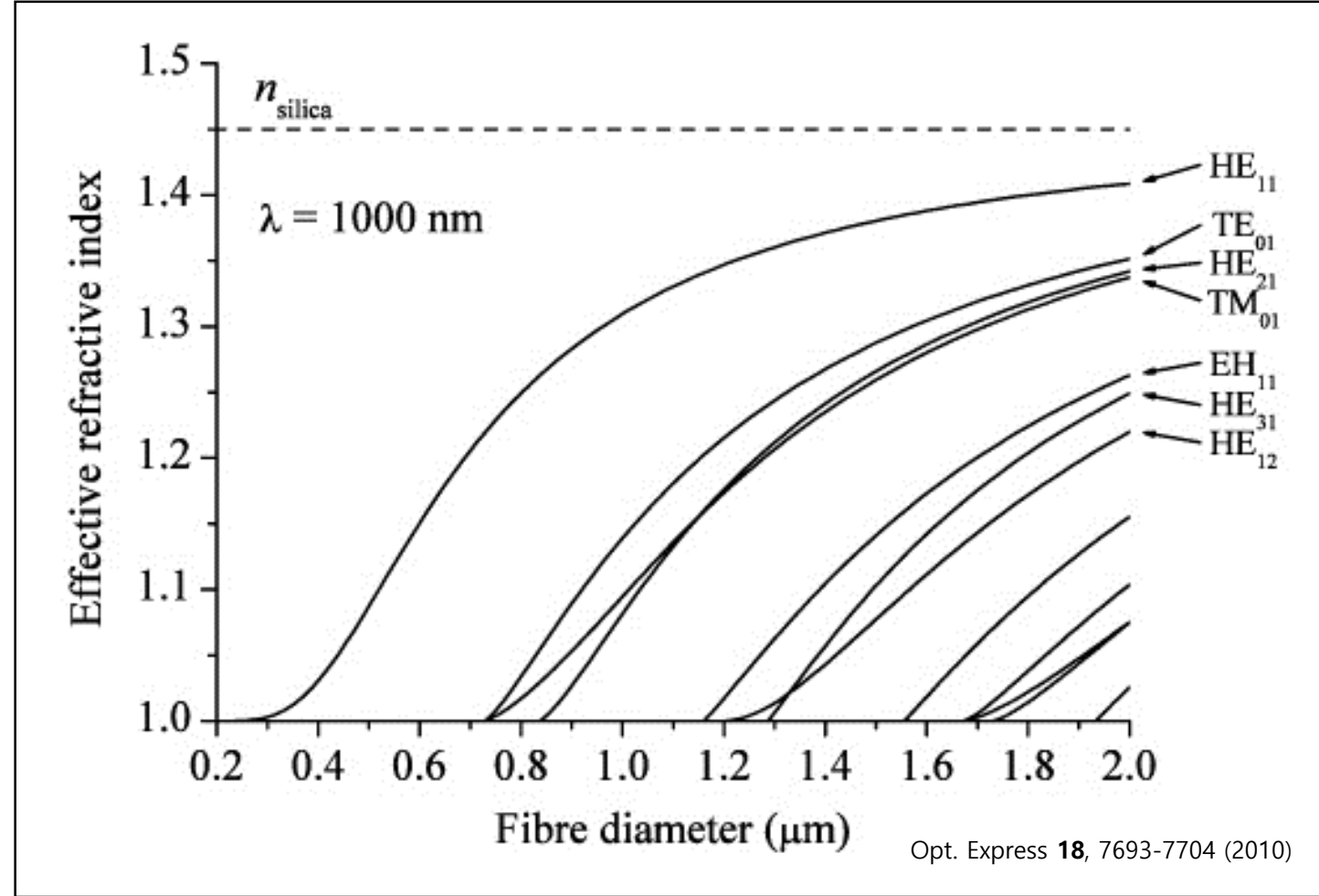
TM modes:

$$\frac{J_1(u)}{uJ_0(u)} = -\left(\frac{n_2}{n_1}\right)^2 \frac{K_1(w)}{wK_0(w)}$$

Hybrid modes:

$$\left[ \frac{J'_\nu(u)}{uJ_\nu(u)} + \frac{K'_\nu(w)}{wK_\nu(w)} \right] \left[ \frac{J'_\nu(u)}{uJ_\nu(u)} + \left(\frac{n_2}{n_1}\right)^2 \frac{K'_\nu(w)}{wK_\nu(w)} \right]$$

$$= v^2 \left( \frac{1}{u^2} + \frac{1}{w^2} \right) \left[ \frac{1}{u^2} + \left(\frac{n_2}{n_1}\right)^2 \frac{1}{w^2} \right]$$



$$u = a \sqrt{k^2 n_1^2 - \beta^2}$$

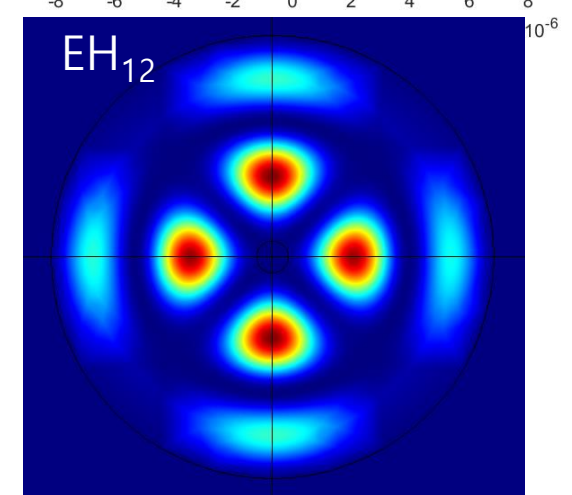
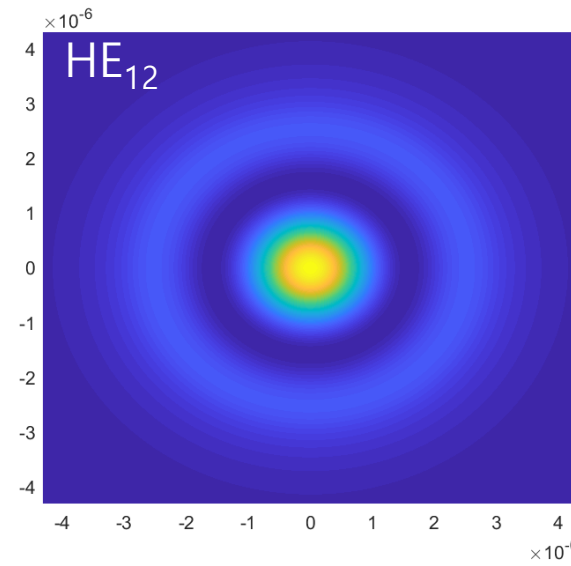
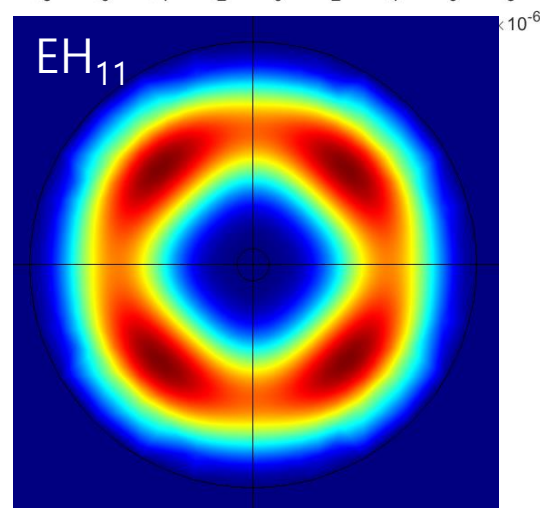
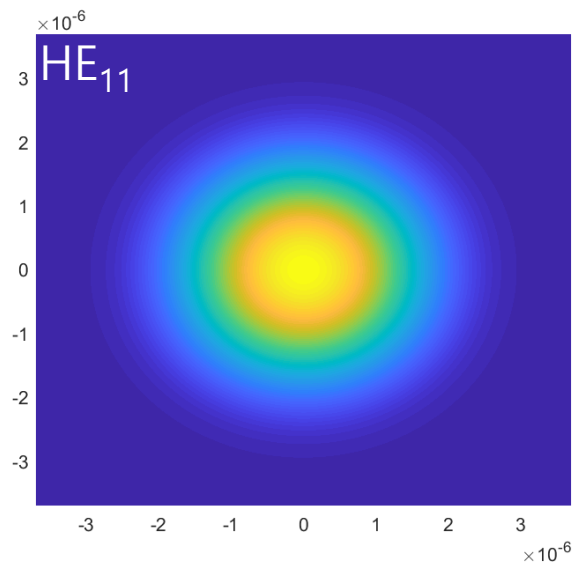
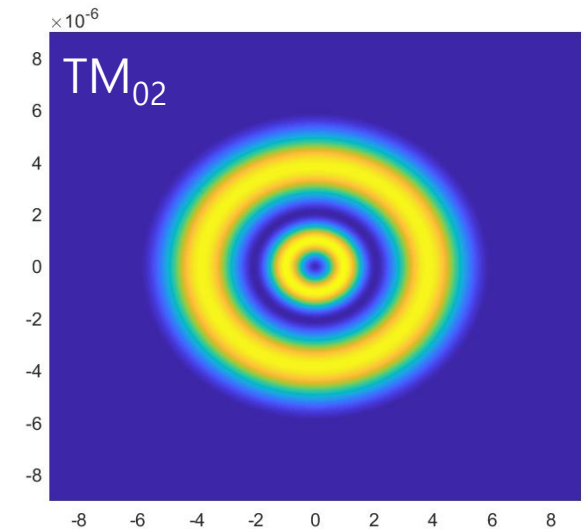
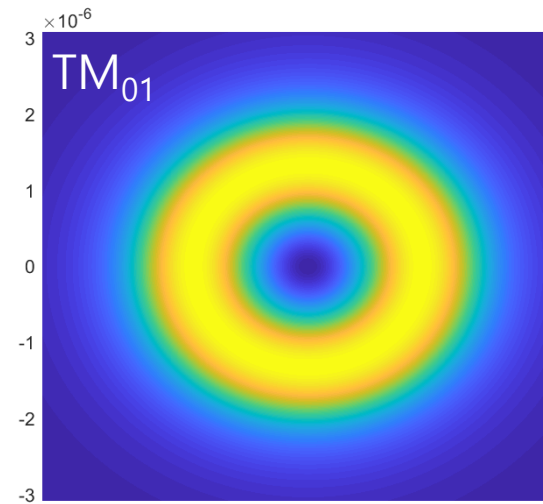
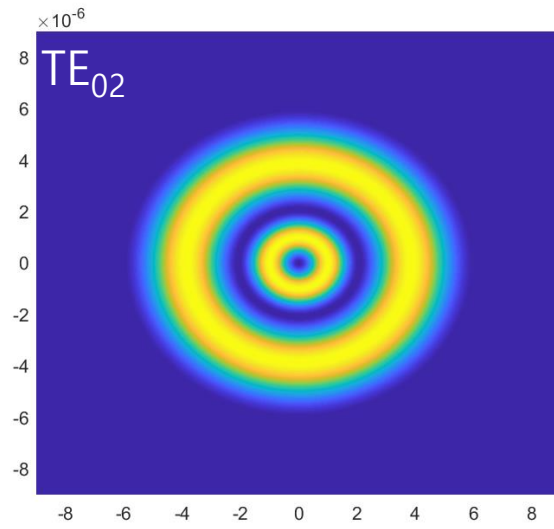
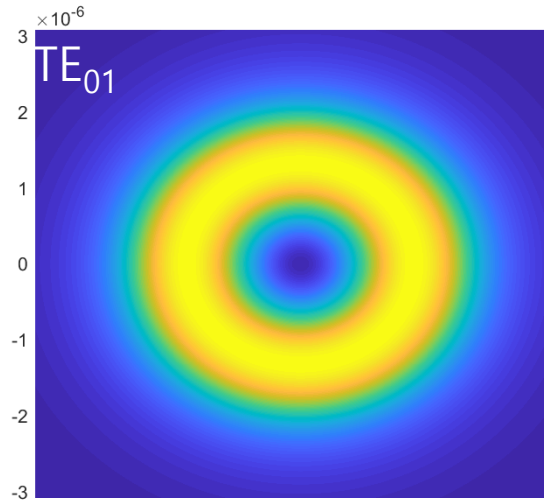
$$w = a \sqrt{\beta^2 - k^2 n_2^2}$$

$$n(\text{TE}_{01}) > n(\text{TE}_{02}) > n(\text{TE}_{03}) > \dots > n(\text{TE}_{0,m-1}) > n(\text{TE}_{0m})$$

$$n(\text{TM}_{01}) > n(\text{TM}_{02}) > n(\text{TM}_{03}) > \dots > n(\text{TM}_{0,m-1}) > n(\text{TM}_{0m})$$

$$n(\text{HE}_{l1}) > n(\text{EH}_{l1}) > n(\text{HE}_{l2}) > n(\text{EH}_{l2}) > \dots > n(\text{HE}_{l,m}) > n(\text{EH}_{lm}) > n(\text{HE}_{l,m+1}) > \dots$$

# Some mode field distributions





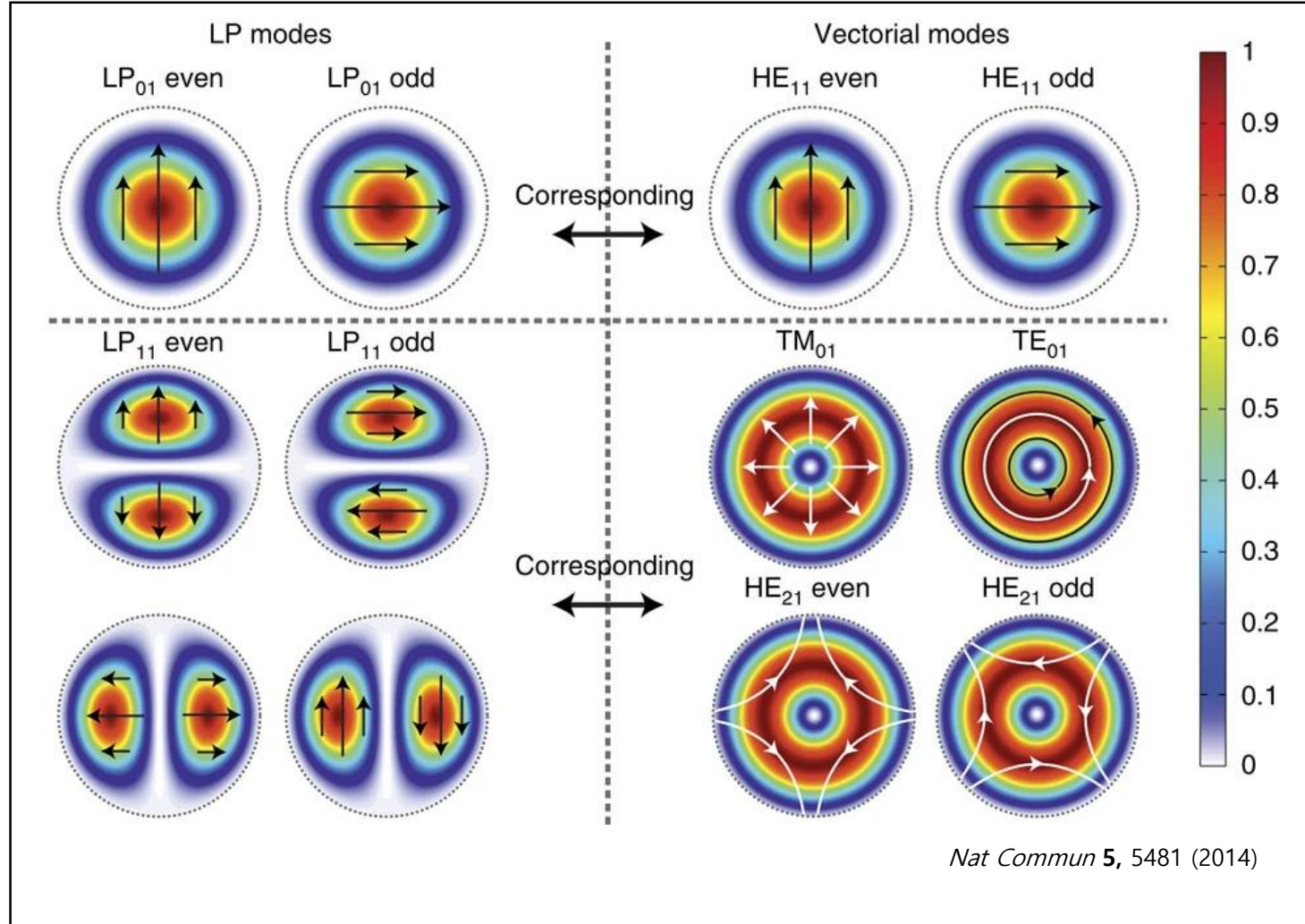
$$n_1 \approx n_2: \text{Weakly guiding condition}$$

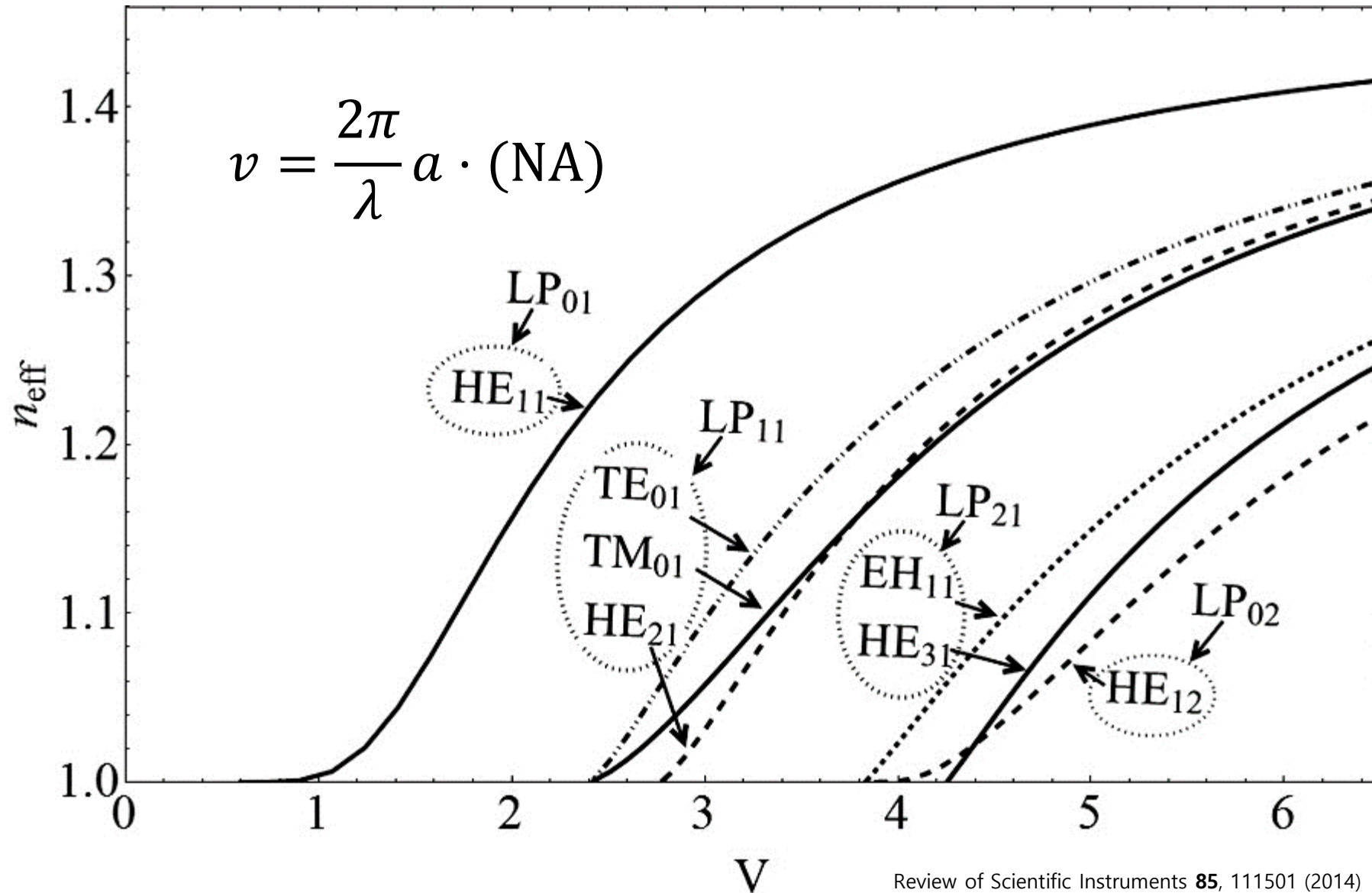
Mode Determinant conditions with weakly guiding approximation:

$$\frac{J_1(u)}{uJ_0(u)} = -\frac{K_1(w)}{wK_0(w)} \quad (v = 0)$$

$$\left[ \frac{J'_\nu(u)}{uJ_\nu(u)} + \frac{K'_\nu(w)}{wK_\nu(w)} \right] = \pm v^2 \left( \frac{1}{u^2} + \frac{1}{w^2} \right) \quad (v \neq 0)$$

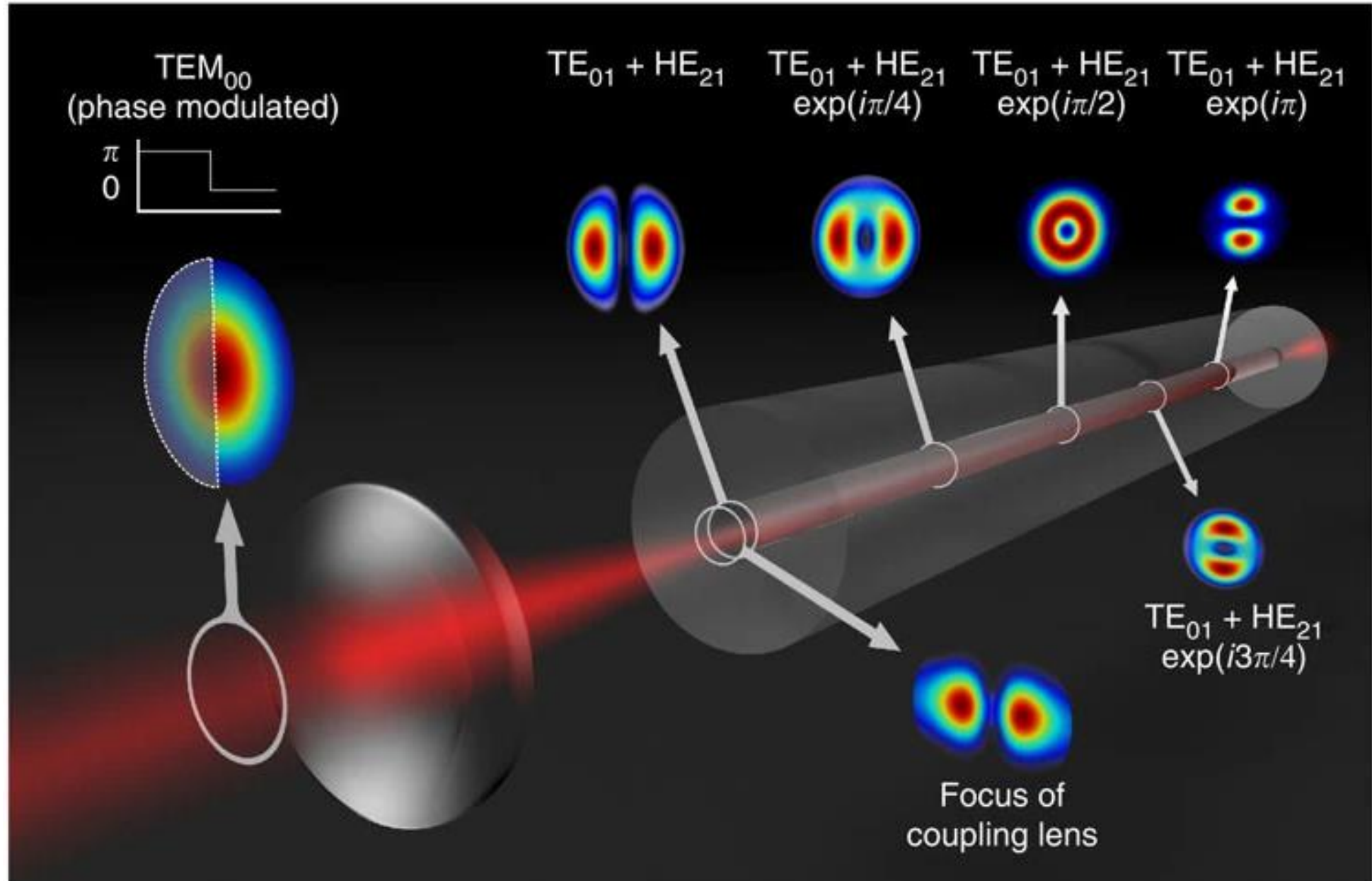
- $TE_{0m} \rightarrow LP_{1m}$
- $TM_{0m} \rightarrow LP_{1m}$
- $HE_{\nu m} \rightarrow LP_{\nu-1,m}$
- $EH_{\nu m} \rightarrow LP_{\nu+1,m}$



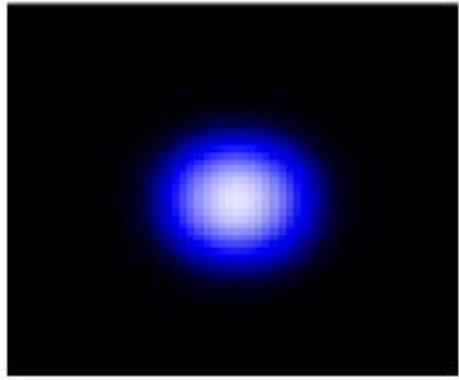


Review of Scientific Instruments **85**, 111501 (2014)

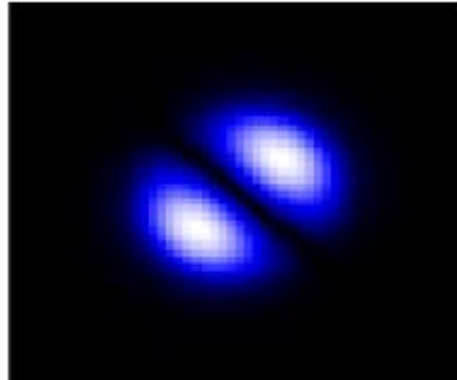
Single mode criterion:  $v < 2.405$



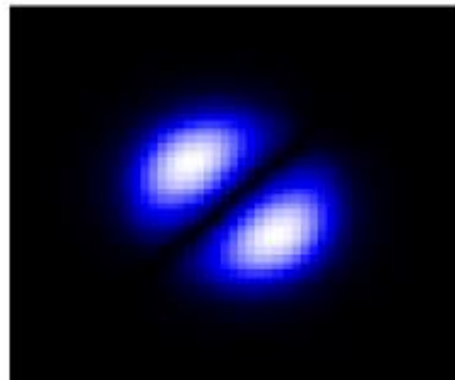




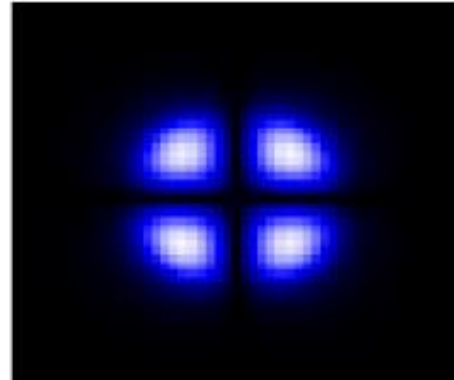
$LP_{01}$



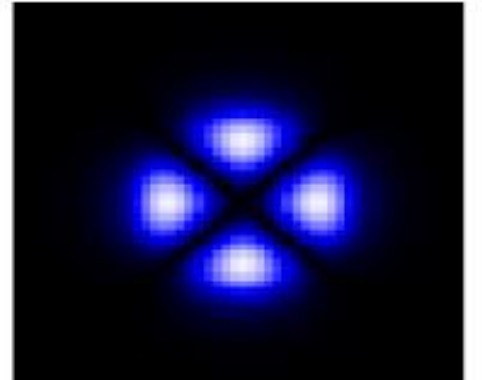
$LP_{11a}$



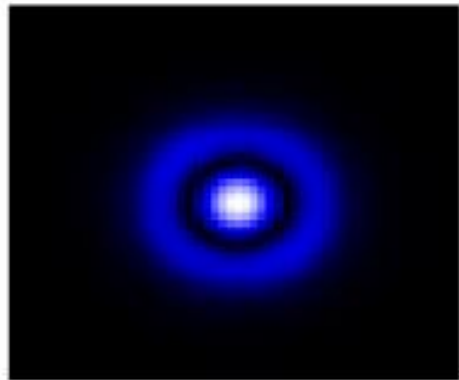
$LP_{11b}$



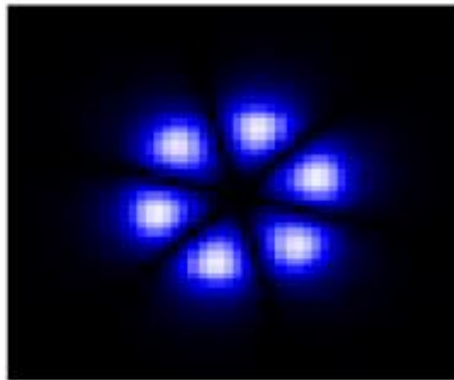
$LP_{21a}$



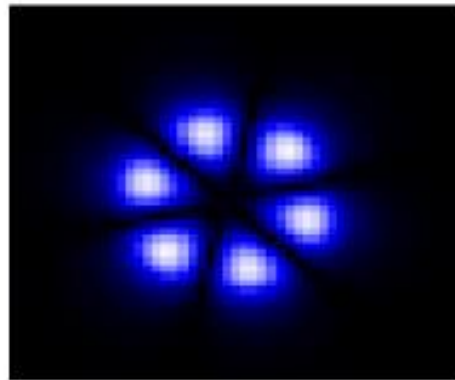
$LP_{21b}$



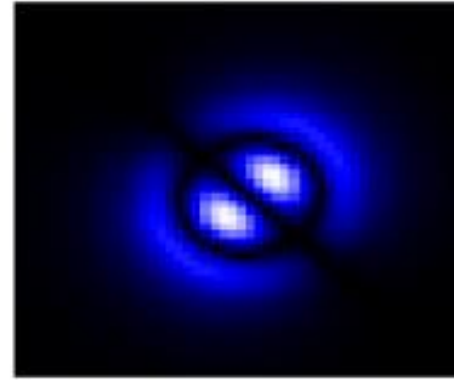
$LP_{02}$



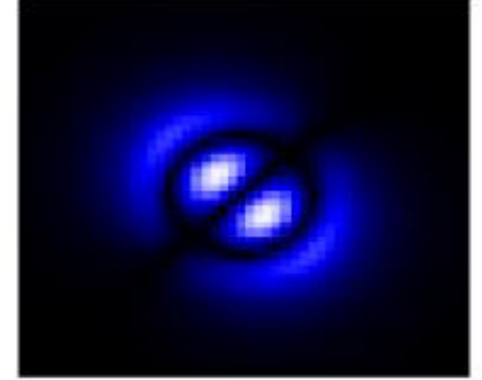
$LP_{31a}$



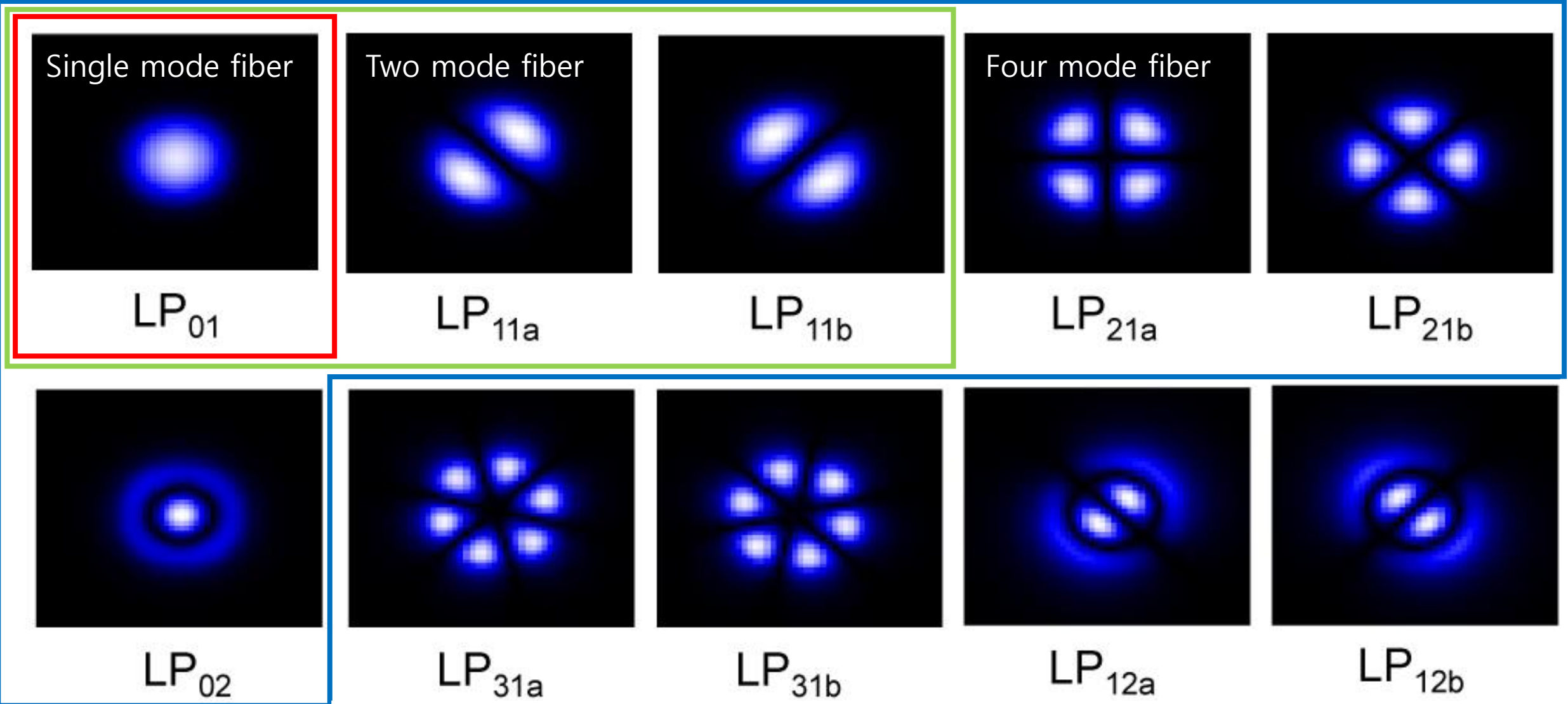
$LP_{31b}$



$LP_{12a}$

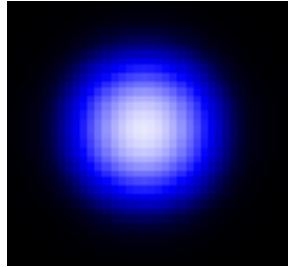


$LP_{12b}$



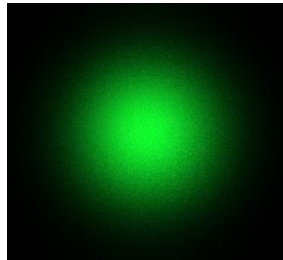
Intensity distribution of

LP<sub>01</sub> beam

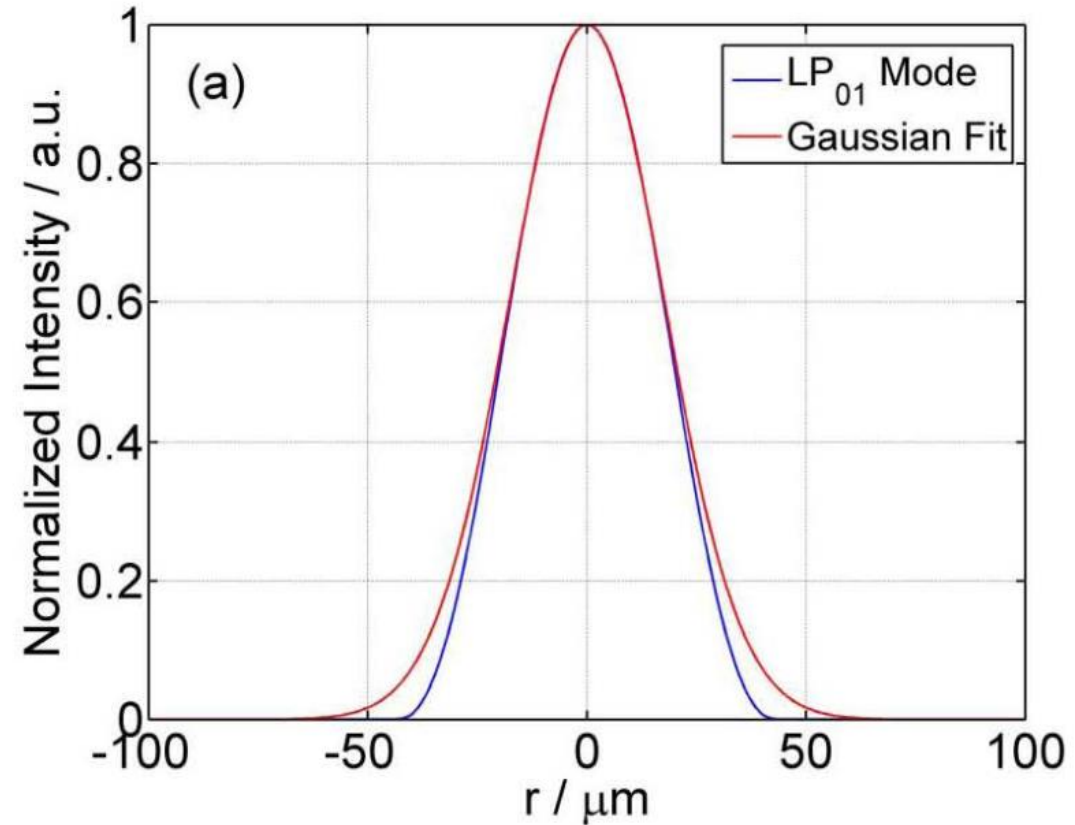


Opt. Express **22**, 20881-20893 (2014)

Gaussian beam



en.wikipedia.org/wiki/Gaussian\_beam



Opt. Express **20**, 14604-14613 (2012)

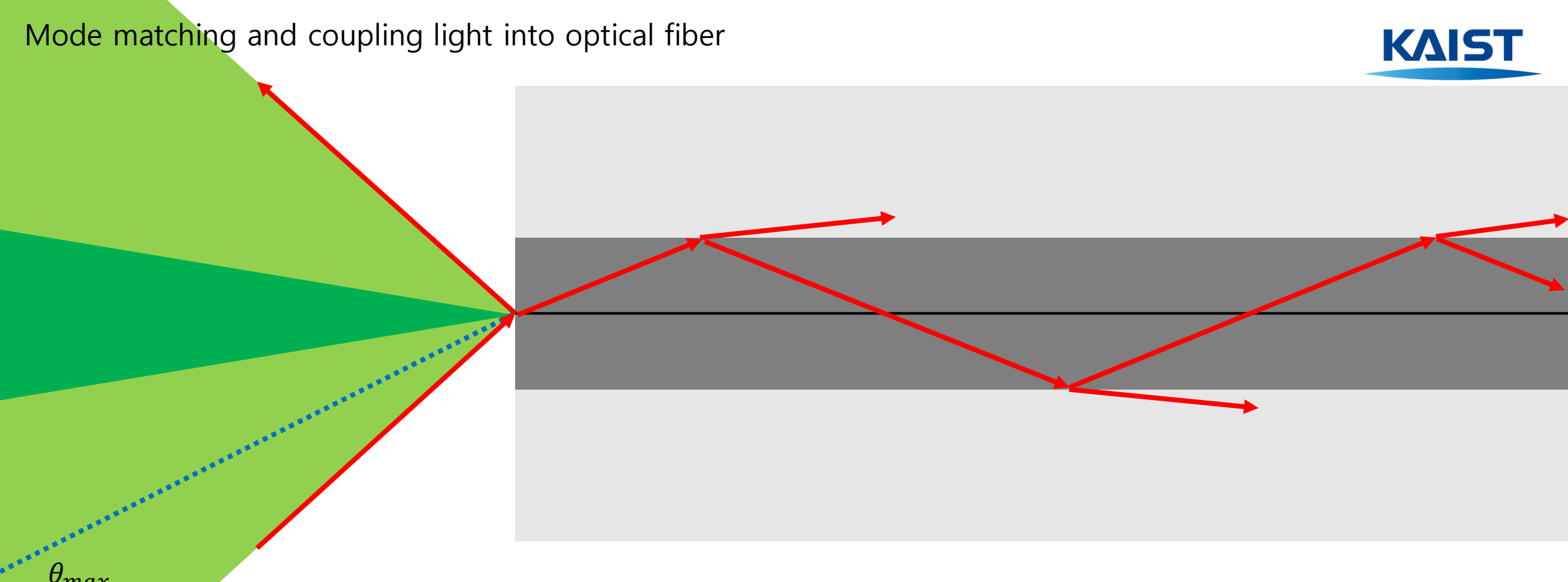
MFD = Full width of  $\frac{1}{e^2}$  to peak intensity

$$\text{MFD} = \frac{\lambda}{\pi} \sqrt{\frac{2 \int_0^{90^\circ} I(\theta) \sin \theta \cos \theta d\theta}{\int_0^{90^\circ} I(\theta) \sin^3 \theta \cos \theta d\theta}}$$

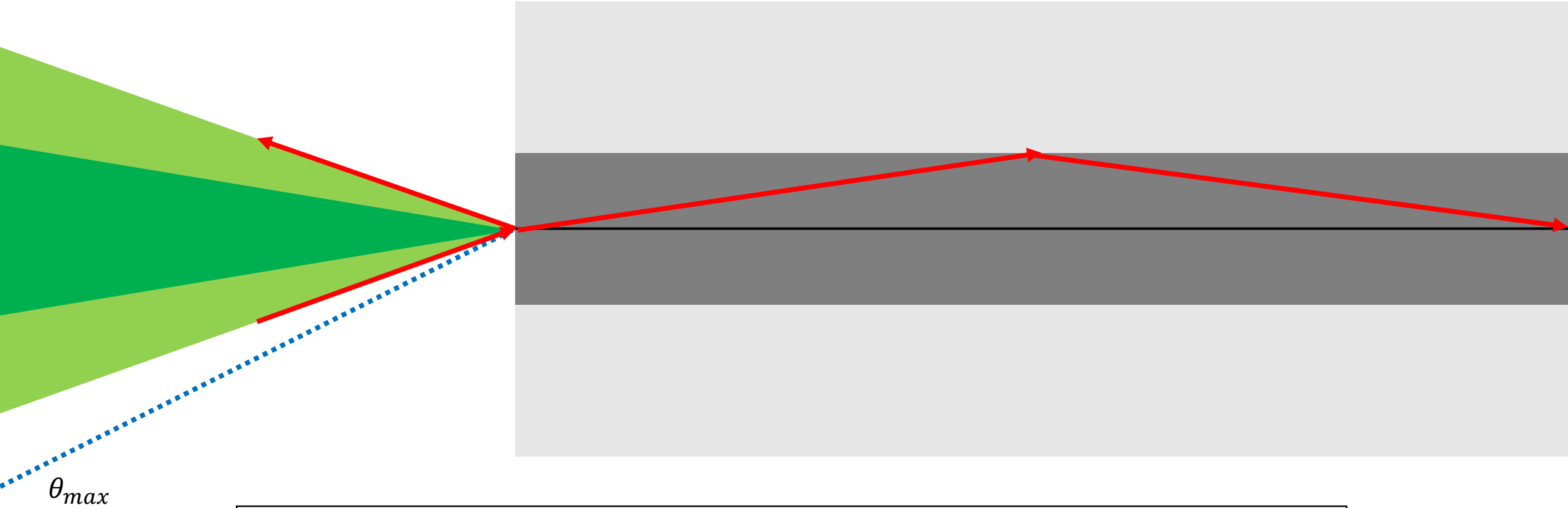
$$\text{MFD} = 2a \left( 0.65 + \frac{1.619}{v^{1.5}} + \frac{2.879}{v^6} \right)$$

Marcuse formula



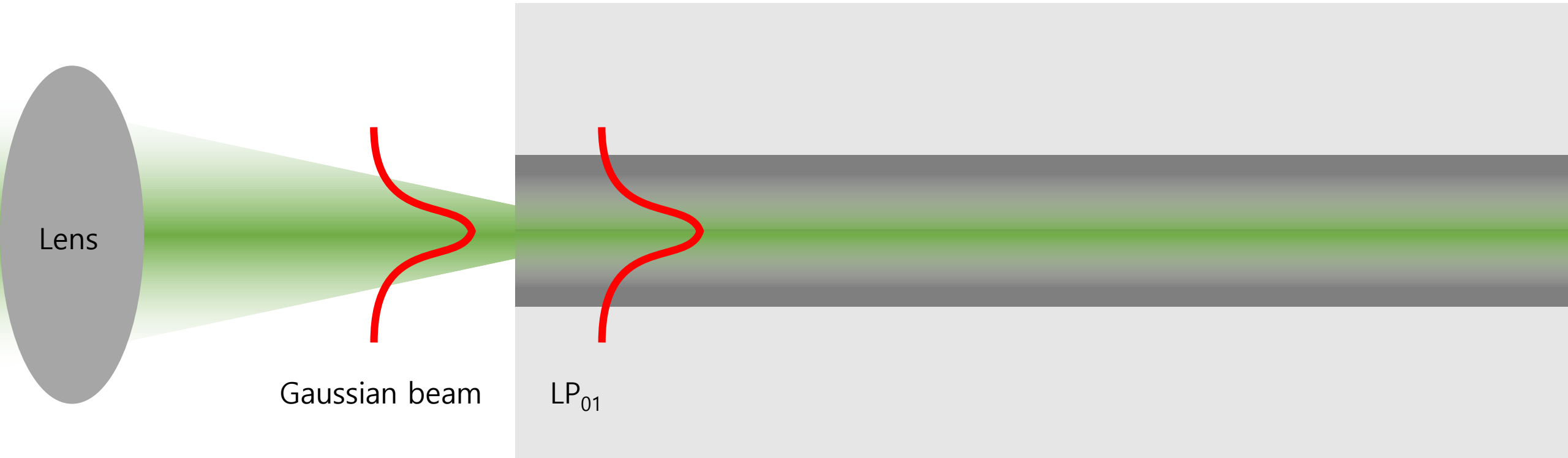


“Overfilled coupling”  
Too high incidence angle=too short focal length=too high NA lens



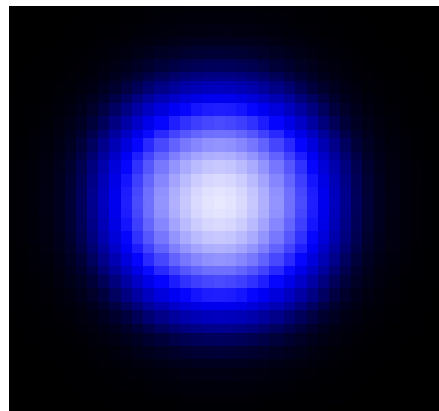
"Underfilled coupling"

Too low incidence angle=too long focal length=too low NA lens



Focal spot size

$$2w_0 = \frac{4\lambda f}{\pi D}$$

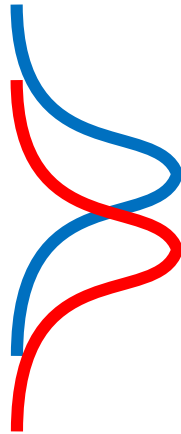


Mode field diameter

$$\text{MFD} = 2a \left( 0.65 + \frac{1.619}{v^{1.5}} + \frac{2.879}{v^6} \right)$$

Waist radius of the input beam should be so mached well as possible to MFD of LP<sub>01</sub> in the target fiber

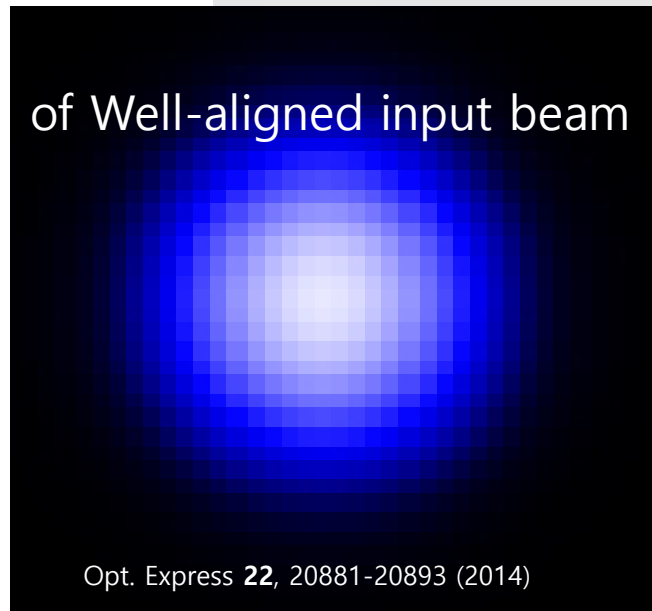
Well-aligned input beam



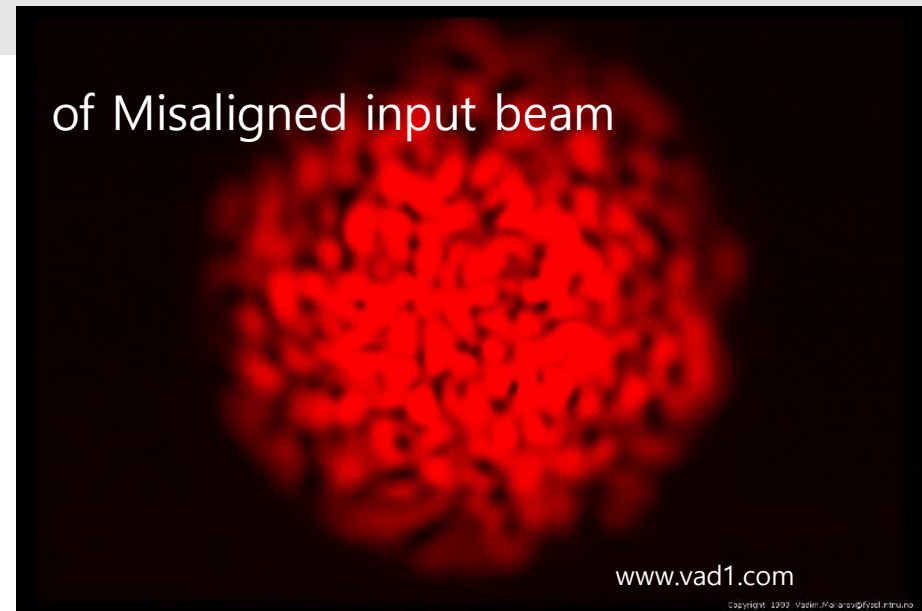
?

Misaligned input beam

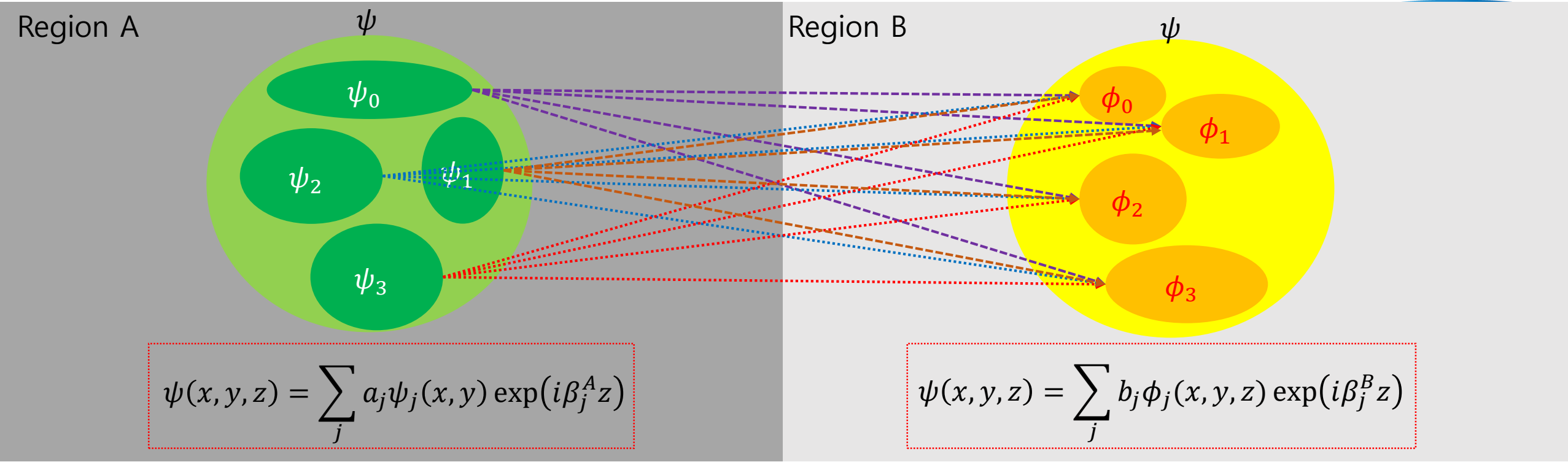
Output pattern: of Well-aligned input beam



of Misaligned input beam







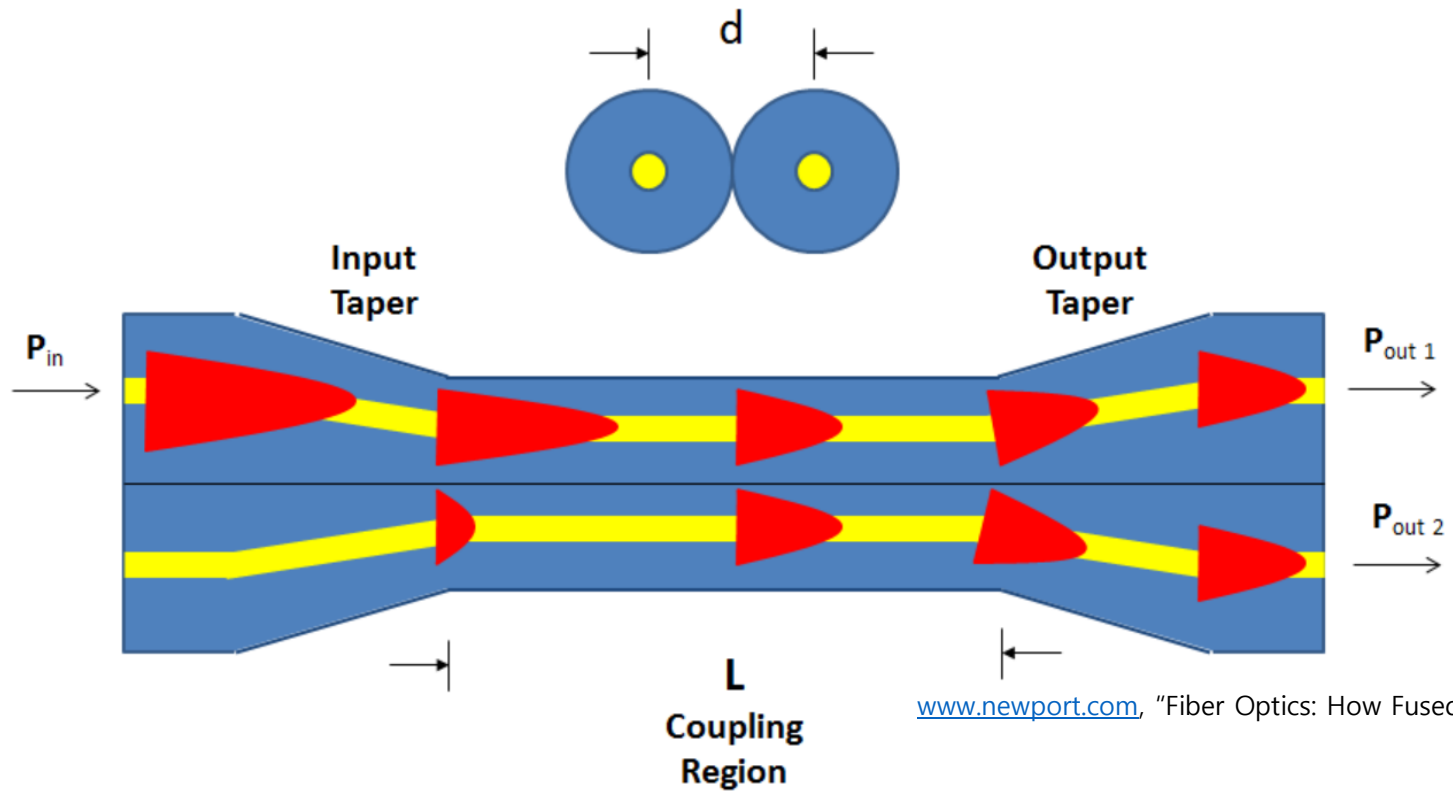
$$\beta = \frac{2\pi}{\lambda} \cdot n_{\text{eff}}$$

$$b_j = \psi \cdot \phi_j = \exp(i(\beta_j^A - \beta_j^B)z) \int \psi(x, y, z) \phi_j(x, y, z) dx dy$$

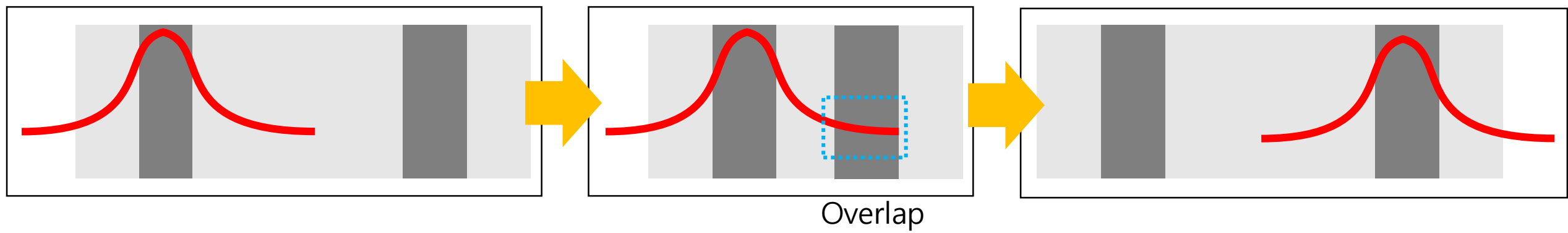
Phase matching condition:  $|\beta_j^A - \beta_j^B| \ll 1 \rightarrow \exp(i(\beta_j^A - \beta_j^B)z) \approx 1 \rightarrow |n_j^A - n_j^B| \ll 1$  (The smaller, the better)

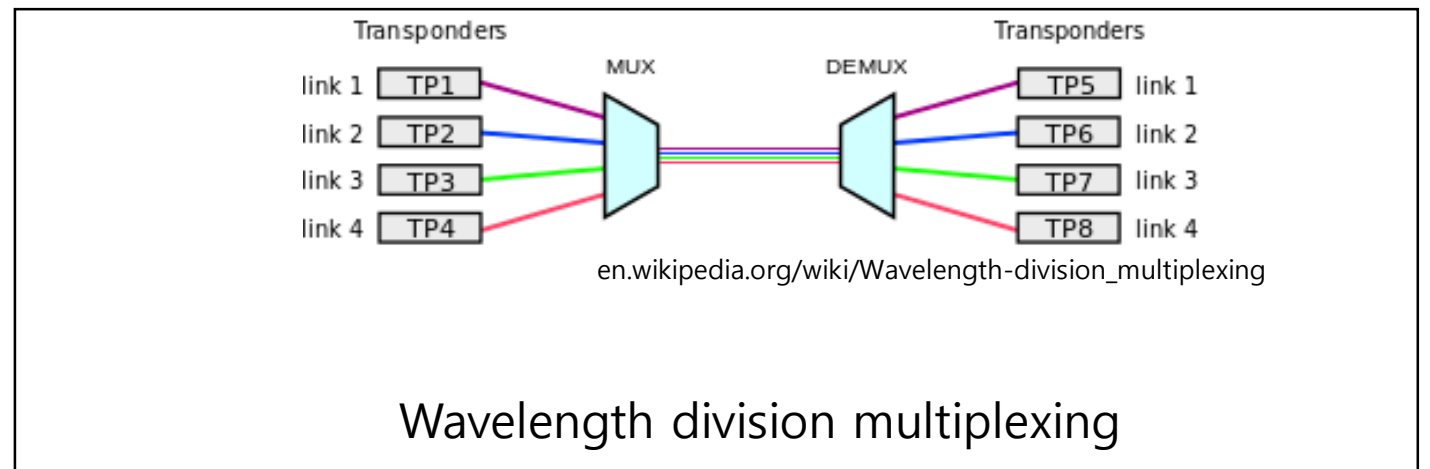
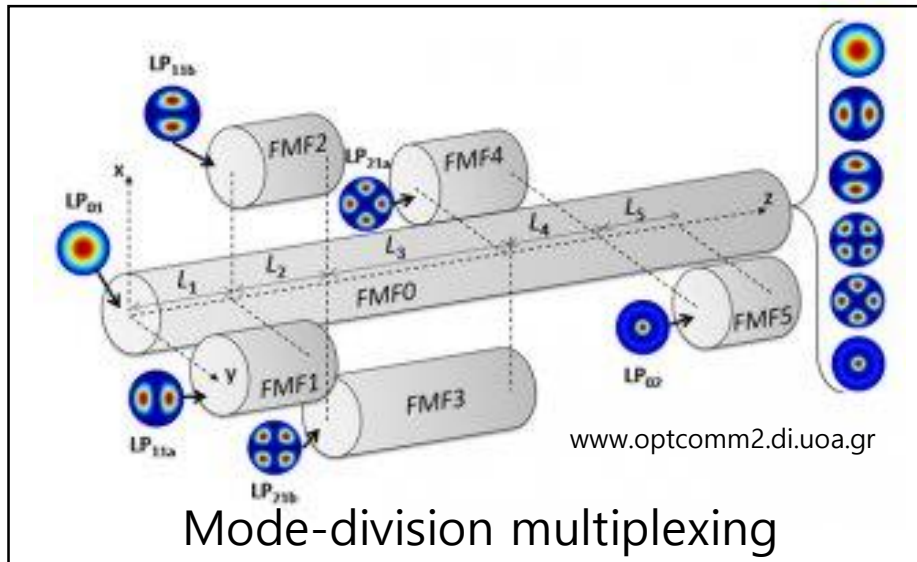
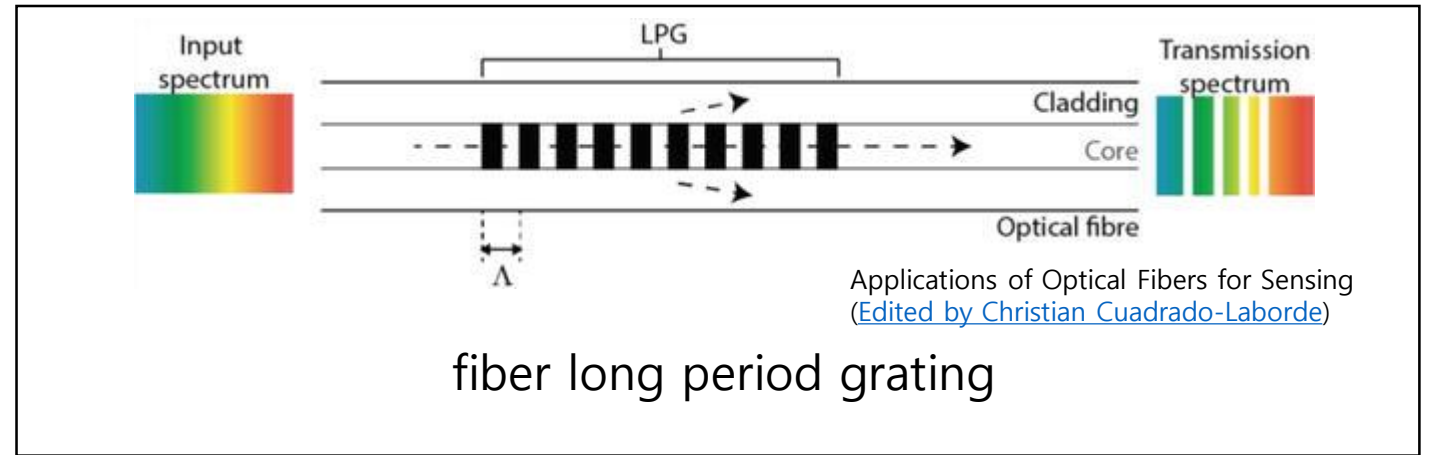
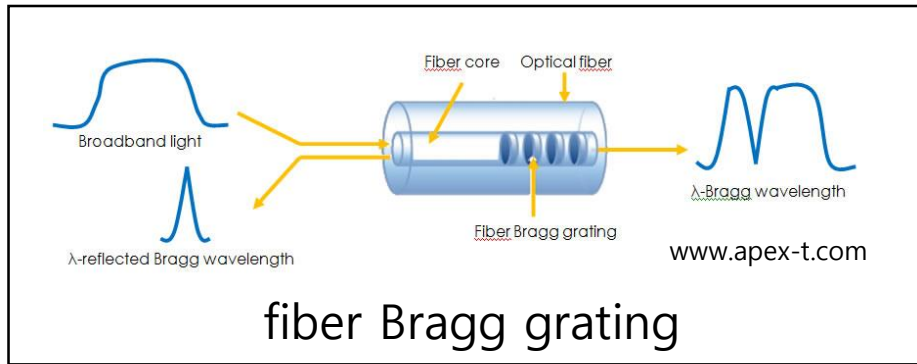
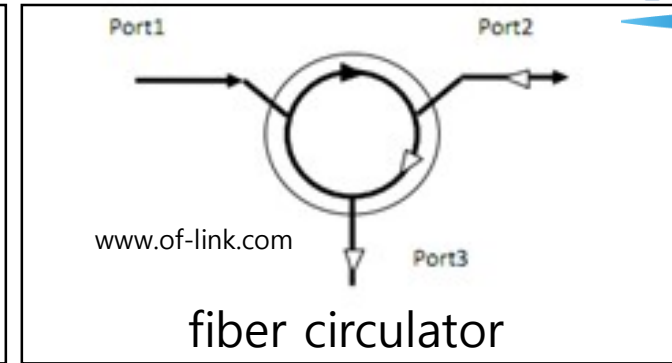
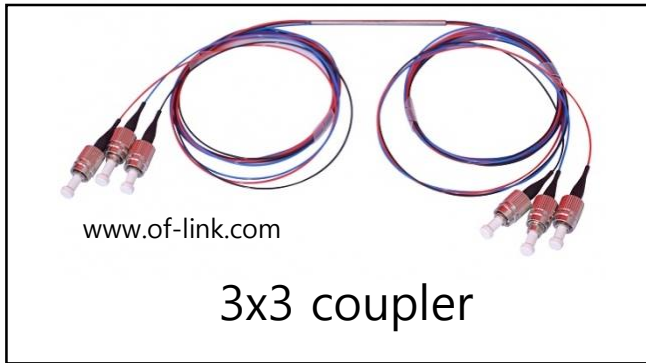
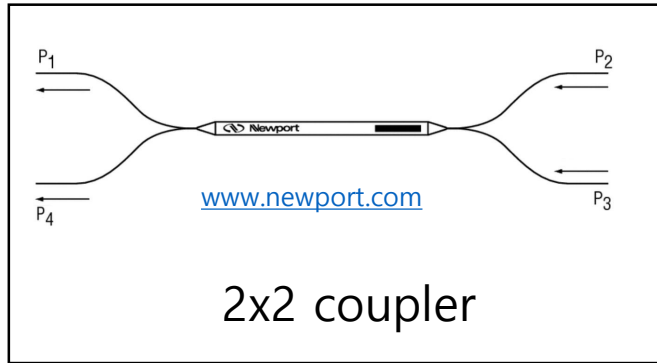
Overlap integral:  $\int \psi(x, y, z) \phi_j(x, y, z) dx dy$  (The greater, the better)





[www.newport.com](http://www.newport.com), "Fiber Optics: How Fused Fiber Optic Couplers Work"

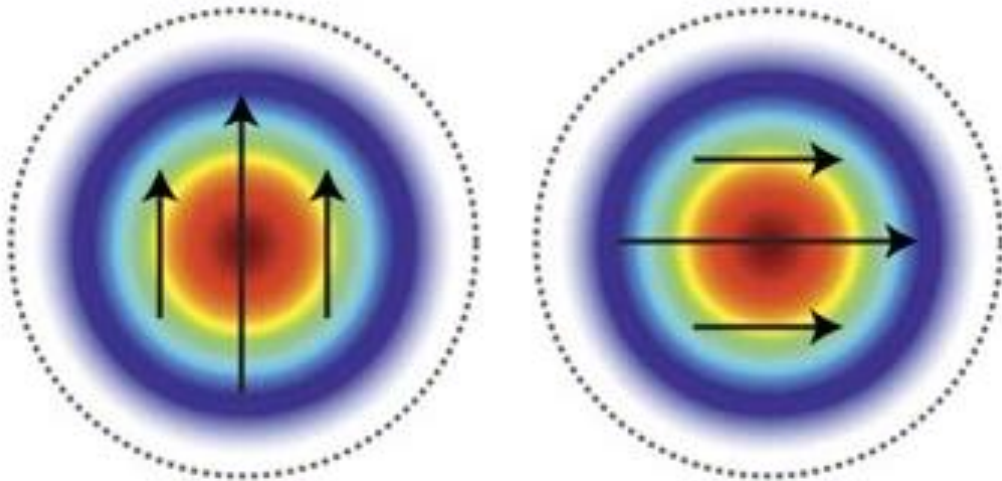




### LP modes

LP<sub>01</sub> even

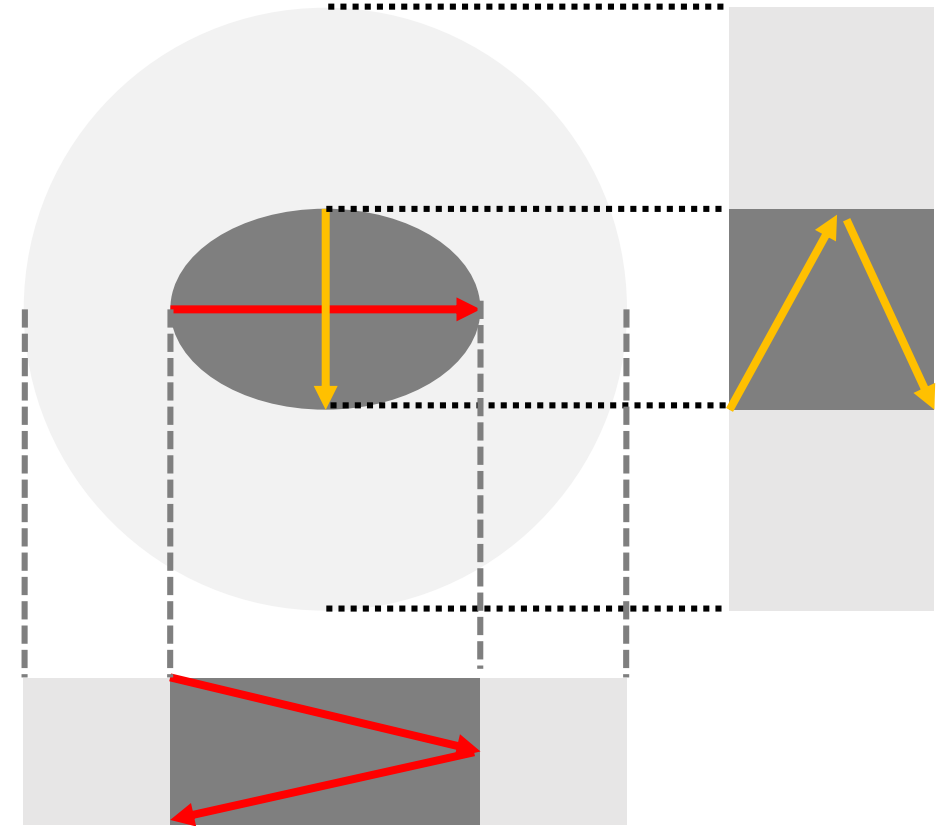
LP<sub>01</sub> odd



*Nat Commun* **5**, 5481 (2014)

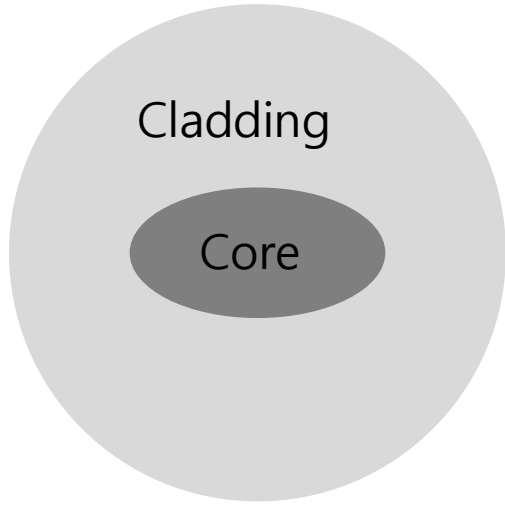
If fiber is circular, no difference between effective refractive indices of two polarization modes.

### Elliptic core fiber

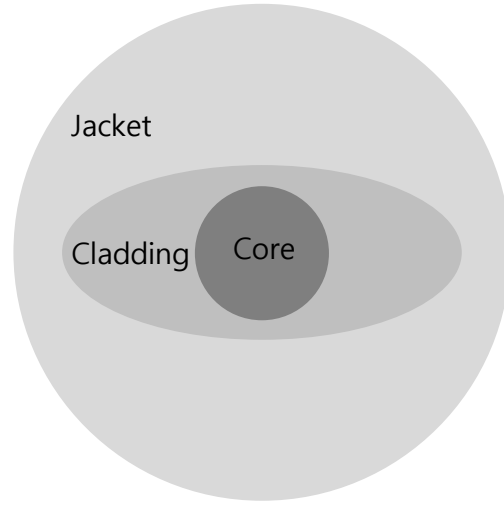


If fiber is not circular, two polarizations is running at the distinct speed in the fiber.

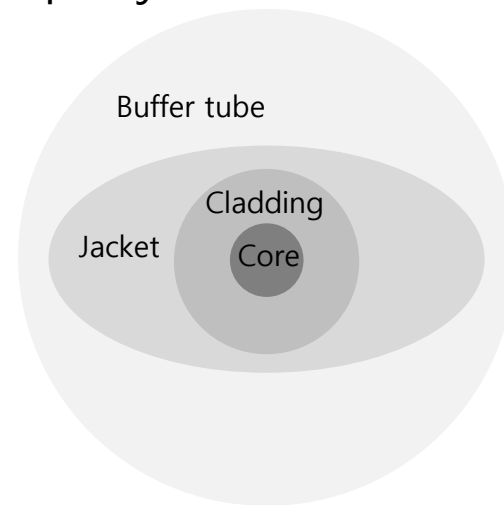
Elliptic core



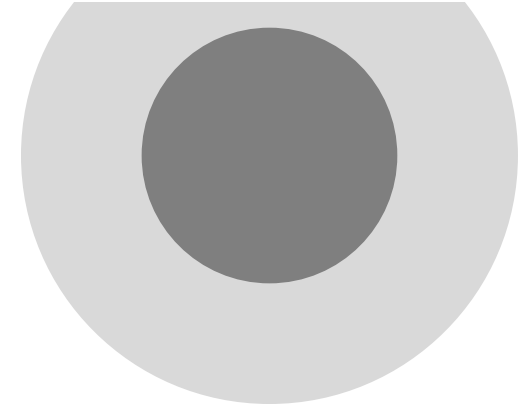
Elliptic cladding



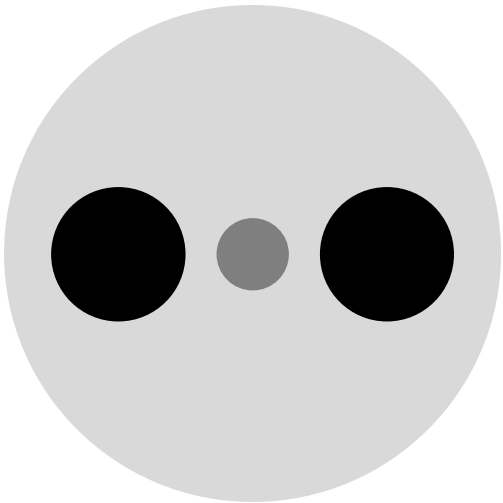
Elliptic jacket



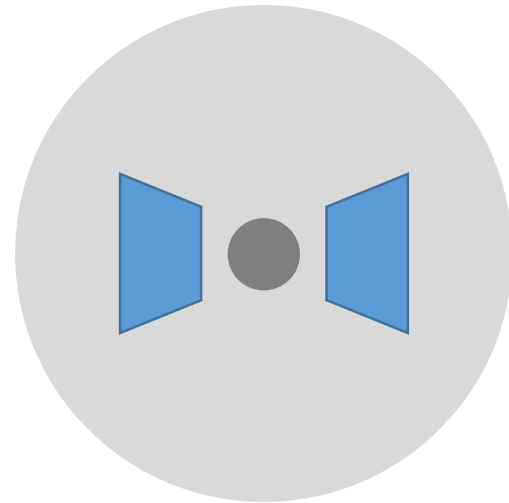
D-shape fiber



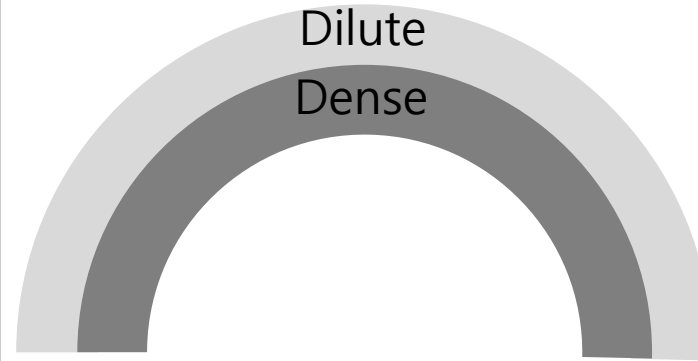
Panda fiber



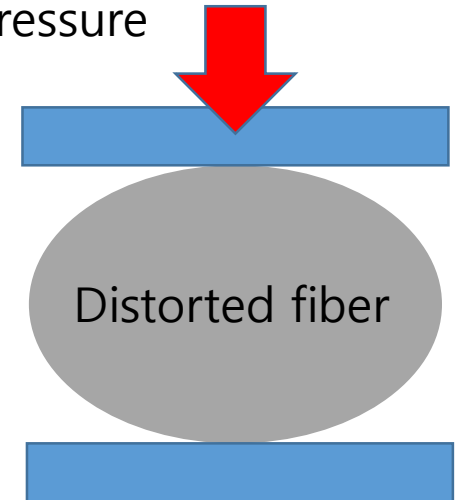
Bow-tie fiber

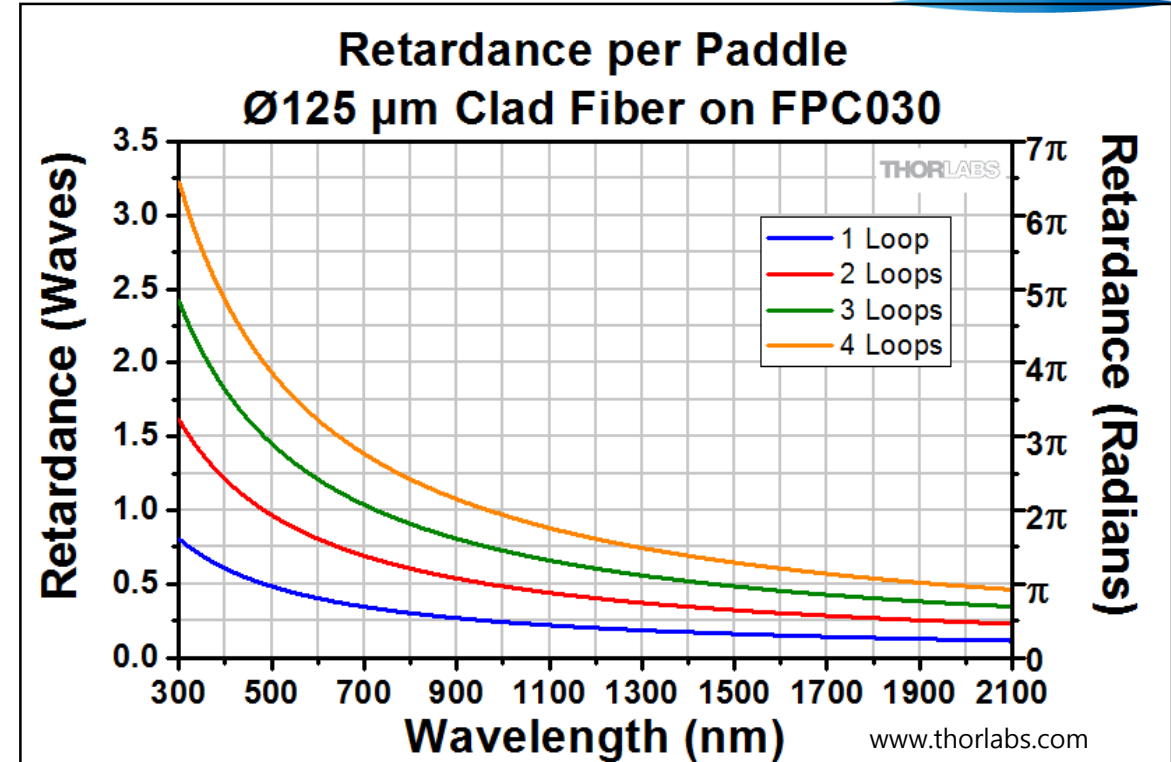


Bending



Pressure





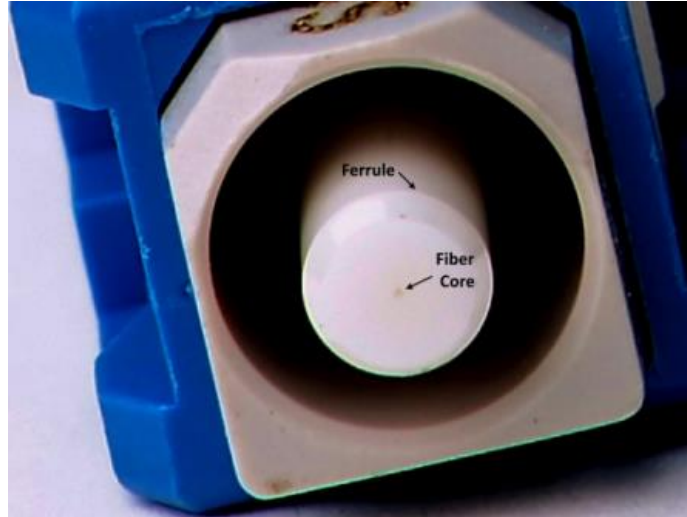
Looping fiber

- > Induced birefringence
- > follow-up the fiber direction
- > polarization changed after twisted paddles

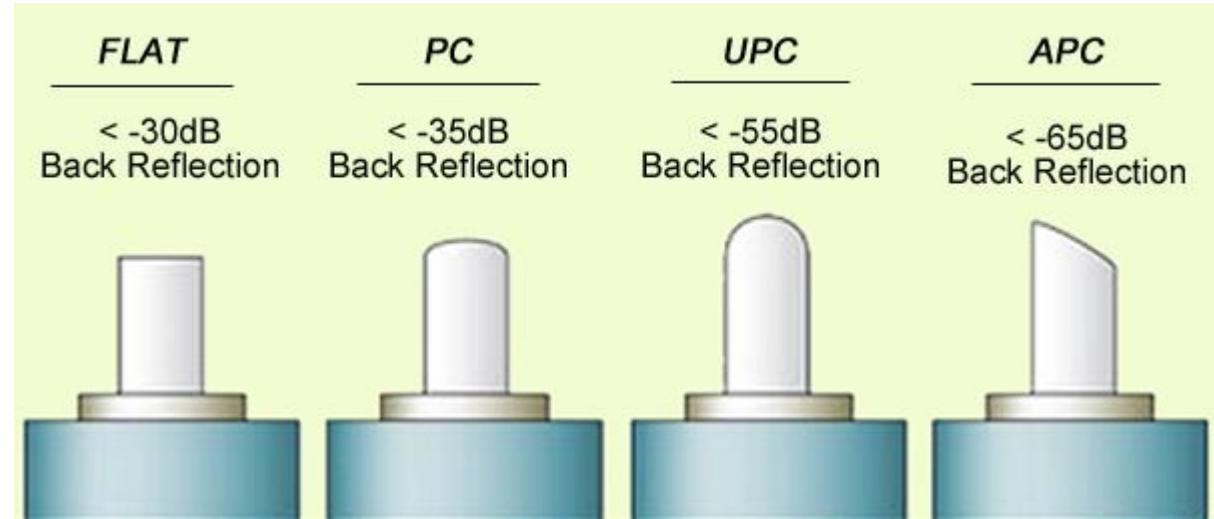
To cover whole Poincaré sphere, 3 paddles are required.

$$\phi(\text{rad}) = \frac{2\pi^2 a N d^2}{\lambda D}$$

*D*: Loop diameter  
*d*: fiber cladding diameter  
*a*: material constant (0.133 for silica fiber)  
*N*: the winding number of the Loop  
 $\lambda$ : wavelength



<http://www.doubleclick.com.my>



[www.fiber-optic-solutions.com/evolution-of-flat-pc-upc-and-apc-fiber-connectors.html](http://www.fiber-optic-solutions.com/evolution-of-flat-pc-upc-and-apc-fiber-connectors.html)

### Flat Fiber Connector



### Physical Contact Connector



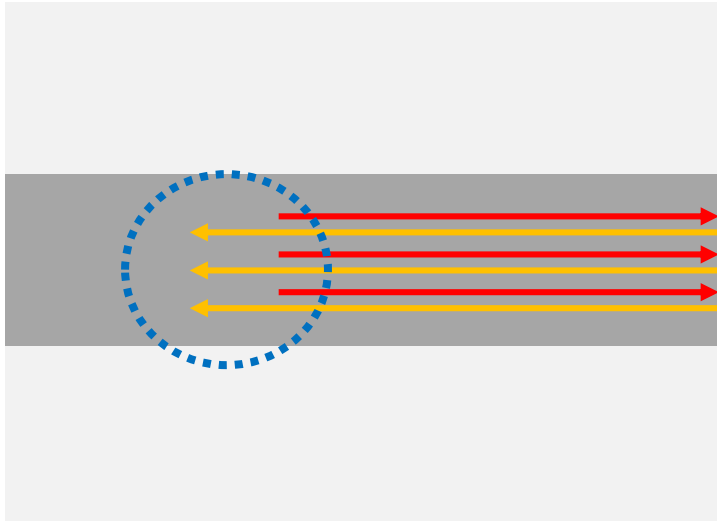
### Ultra Physical Contact Connector



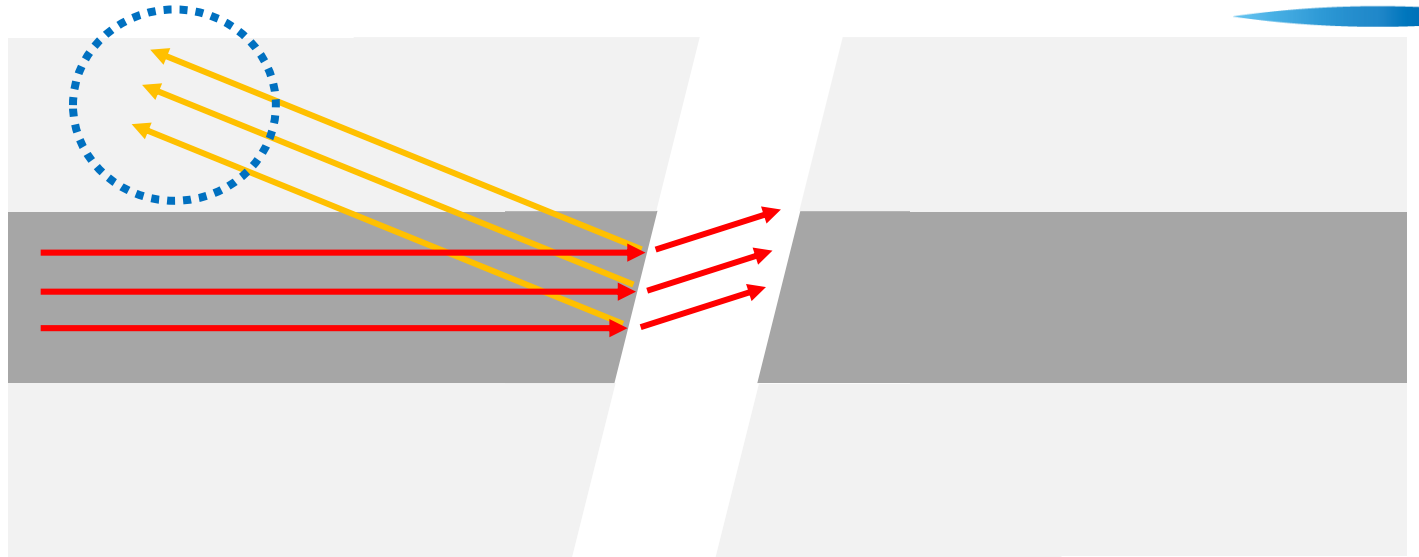
### Angled Physical Contact Connector





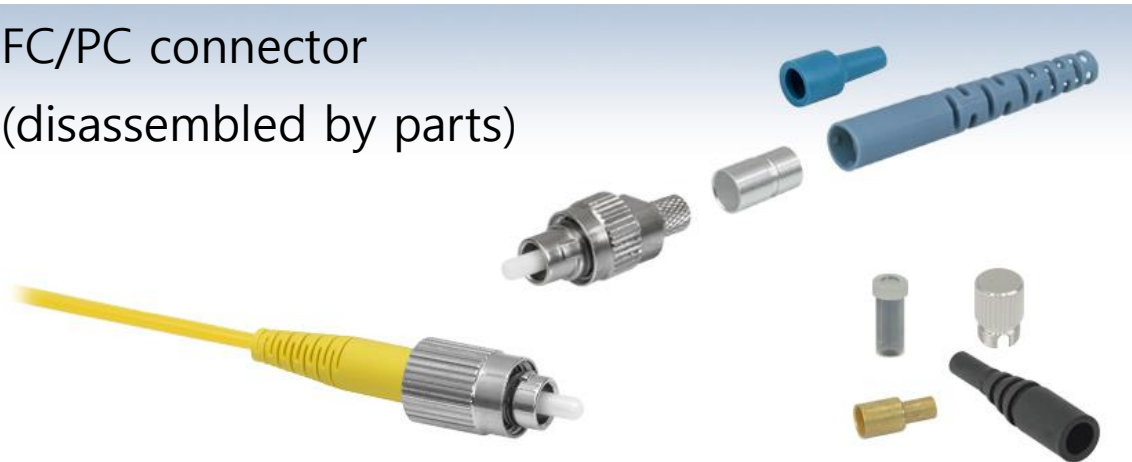


Reflected light has large overlap to the fiber mode  
-> high back reflection



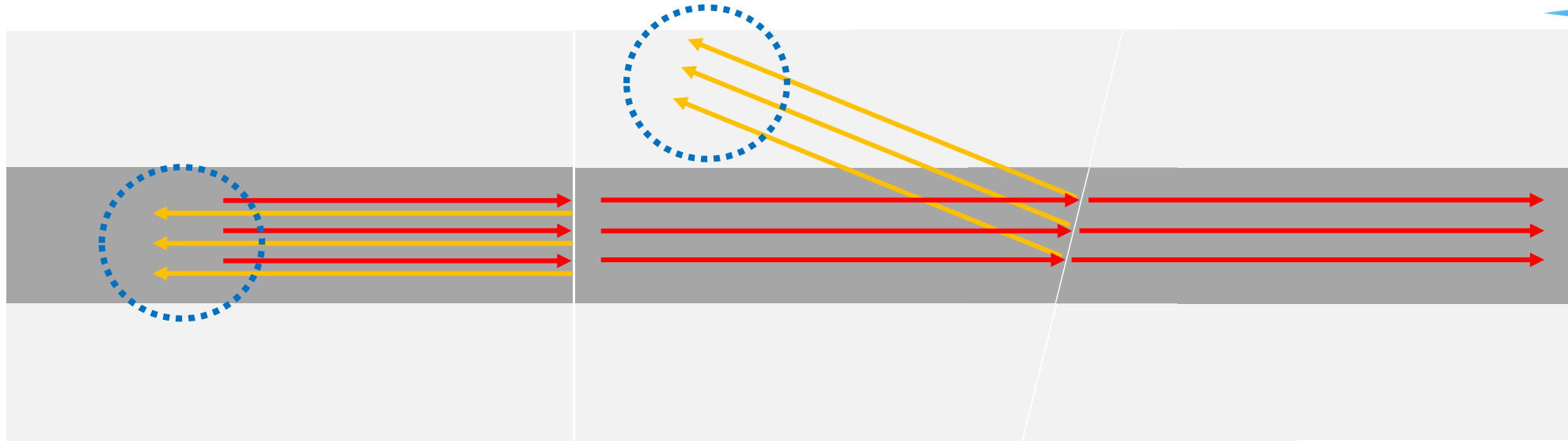
Reflected light has large overlap to the fiber mode  
-> low back reflection

FC/PC connector  
(disassembled by parts)



FC/APC connector  
(disassembled by parts)

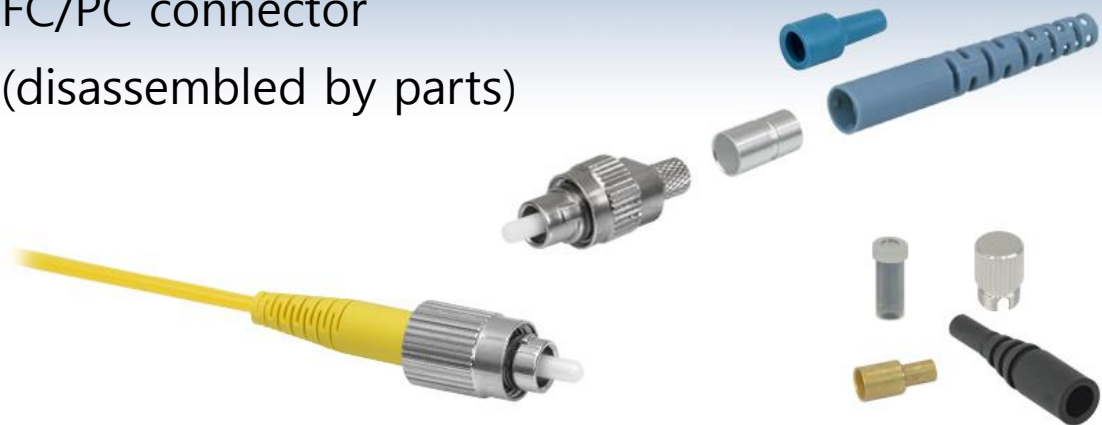




Reflected light has large overlap to the fiber mode  
-> high back reflection

Reflected light has large overlap to the fiber mode  
-> low back reflection

FC/PC connector  
(disassembled by parts)



FC/APC connector  
(disassembled by parts)



# Fiber connector types



**SC Connectors**

[www.flukenetworks.com](http://www.flukenetworks.com)



**FC Connector**

[www.flukenetworks.com](http://www.flukenetworks.com)



**ST Connector**



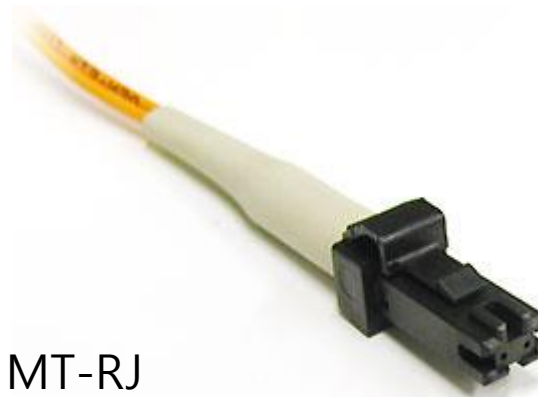
**SMA**

[www.amphenol.com](http://www.amphenol.com)



**LC Duplex Connector**

[www.flukenetworks.com](http://www.flukenetworks.com)



**MT-RJ**

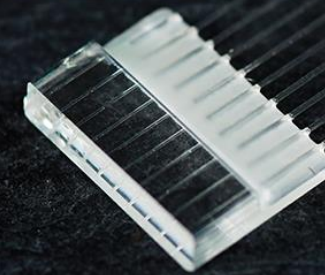
[www.timbercon.com](http://www.timbercon.com)

# Array connectors



[www.flukenetworks.com/blog/cabling-chronicles/101-series-know-your-fiber-connectors](http://www.flukenetworks.com/blog/cabling-chronicles/101-series-know-your-fiber-connectors)

[www.ad-na.com/en/product/optical/device/1d-fiber-array.html](http://www.ad-na.com/en/product/optical/device/1d-fiber-array.html)



[www.ad-na.com/en/product/optical/device/2d-fiber-array.html](http://www.ad-na.com/en/product/optical/device/2d-fiber-array.html)



Product images

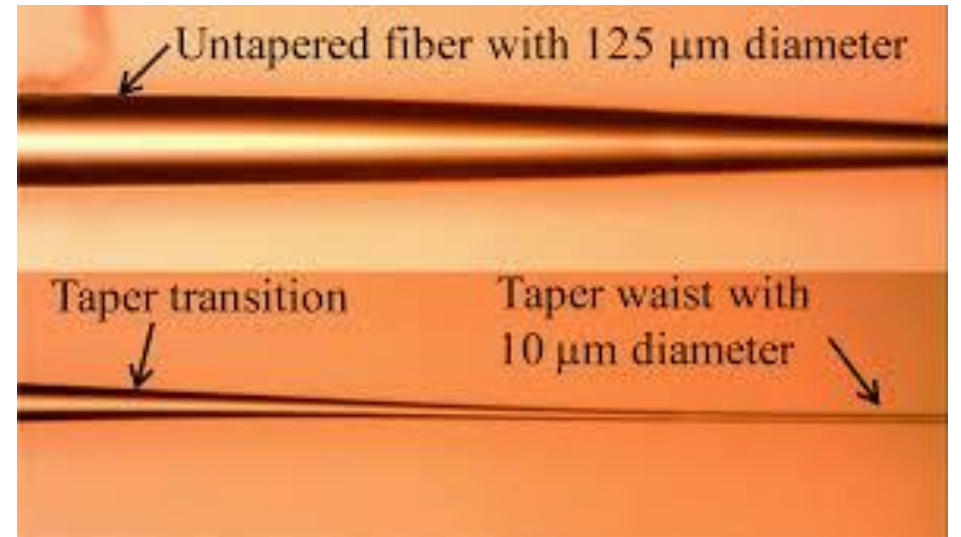
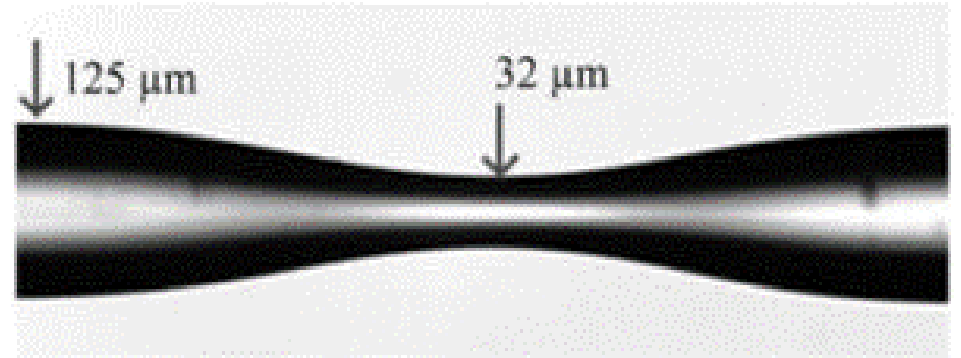


Perpendicular Lens Fiber

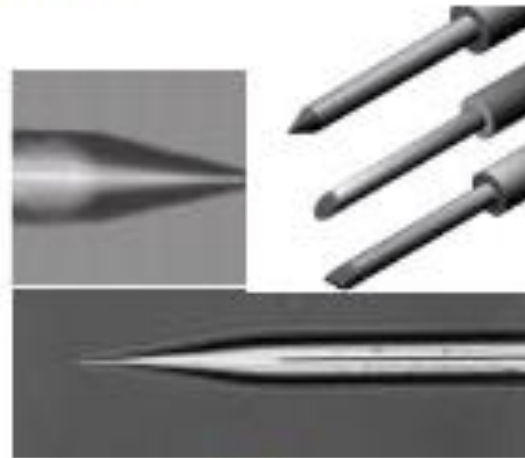


Ball Lensed

Tapered optical fibers

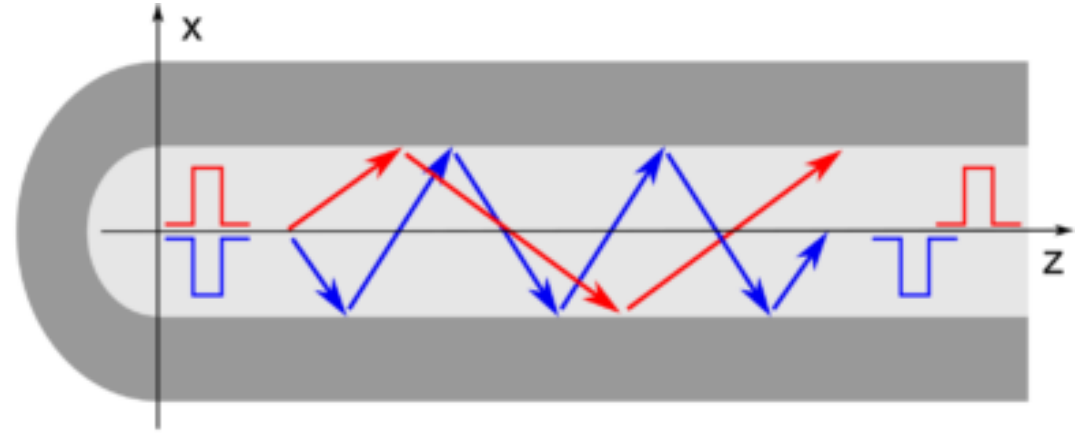
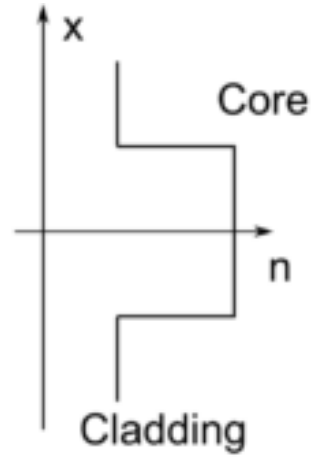


Angled Fiber

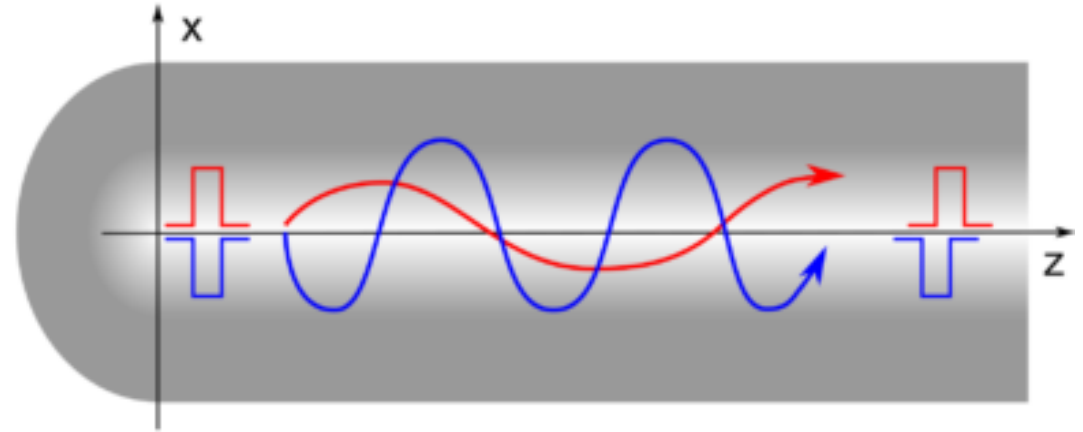
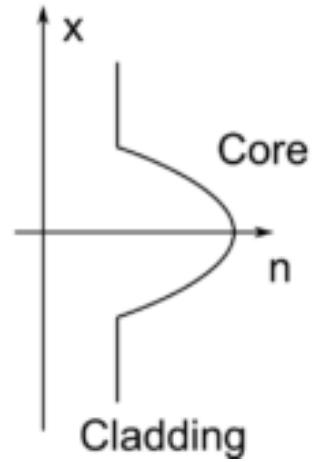


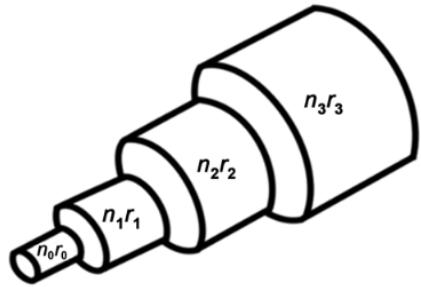
Lensed Fibers

(a) Step-index fiber

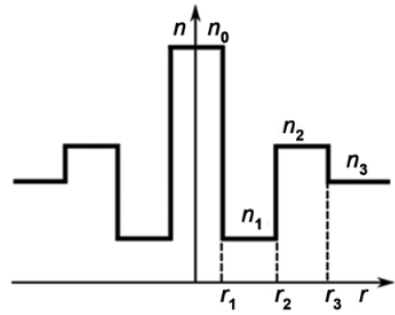


(b) Graded-index fiber



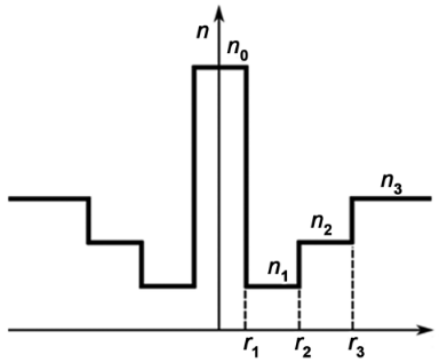


(a)

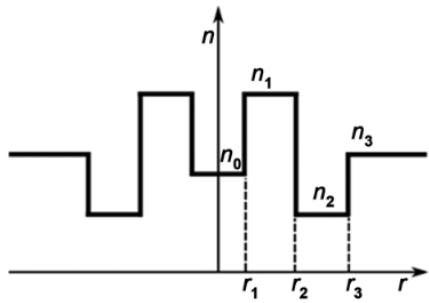


(b)

Dispersion shifted fiber



(c)

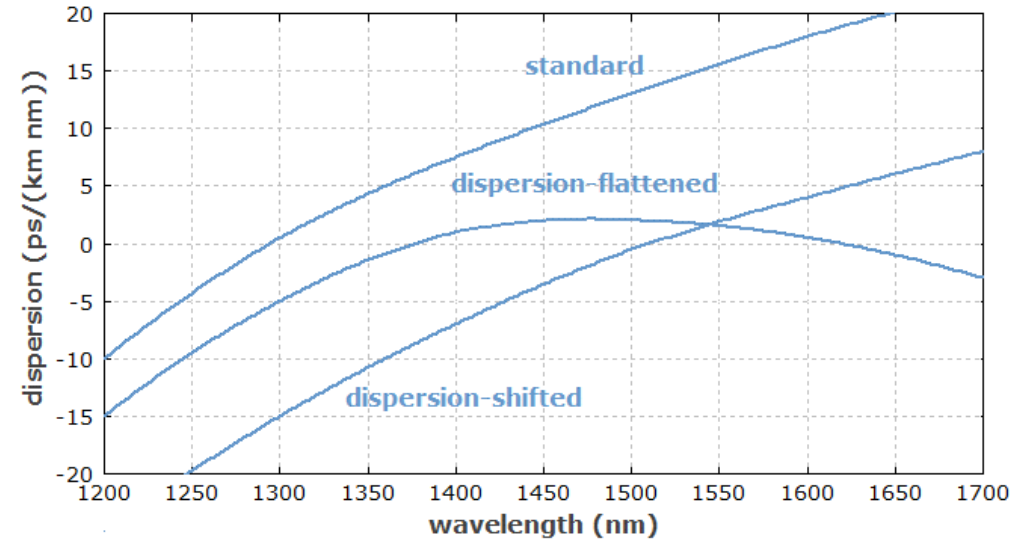


(d)

Dispersion flattened fiber

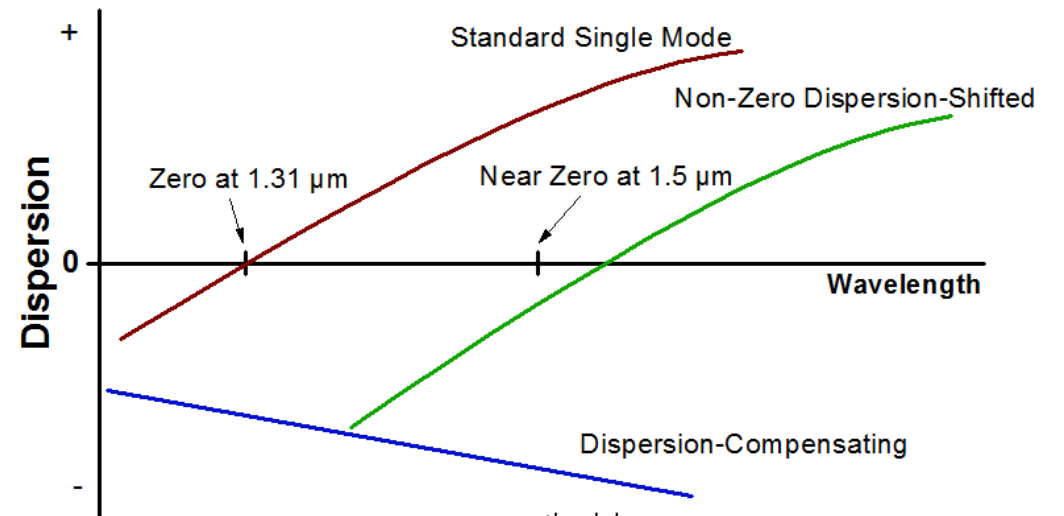
Dispersion compensated fiber

Journal of Optical Communications, 37(2), 193-198.



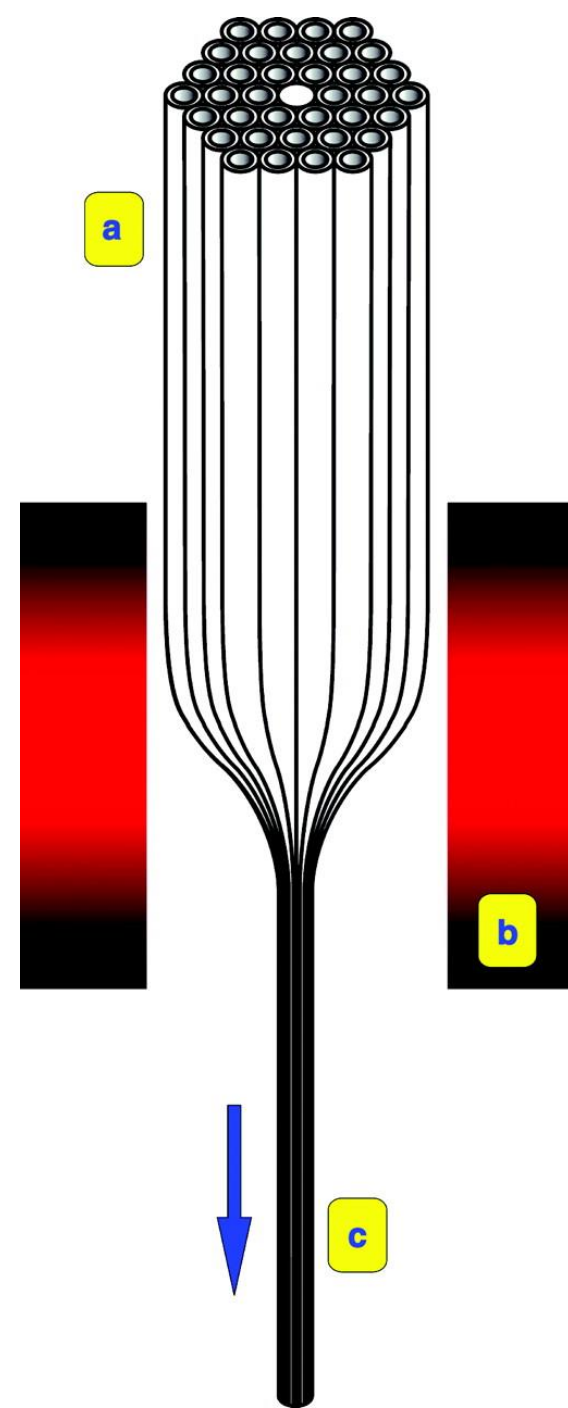
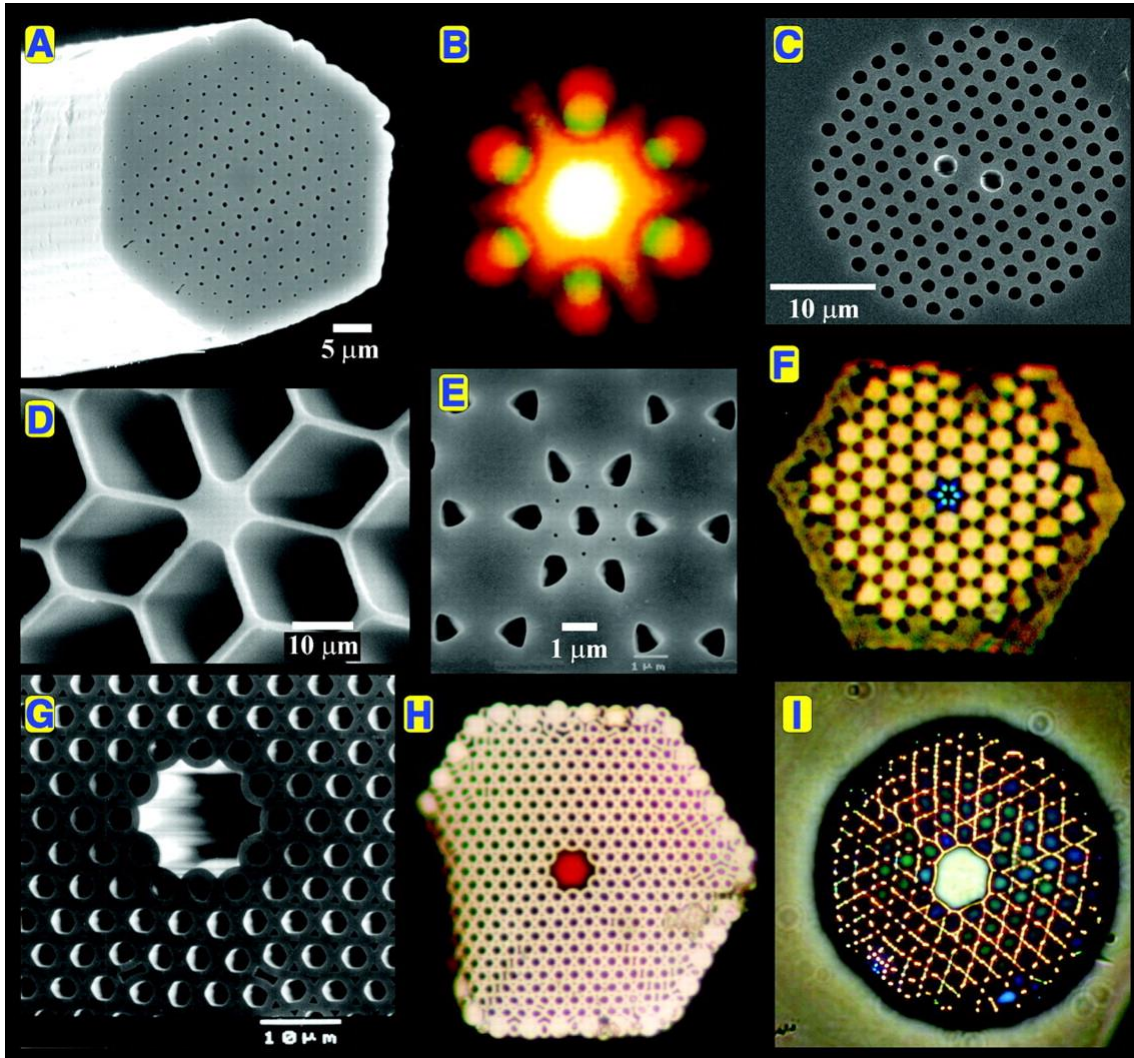
[www.rp-photonics.com/dispersion\\_shifted\\_fibers.html](http://www.rp-photonics.com/dispersion_shifted_fibers.html)

Total Dispersion in Several Fiber Types



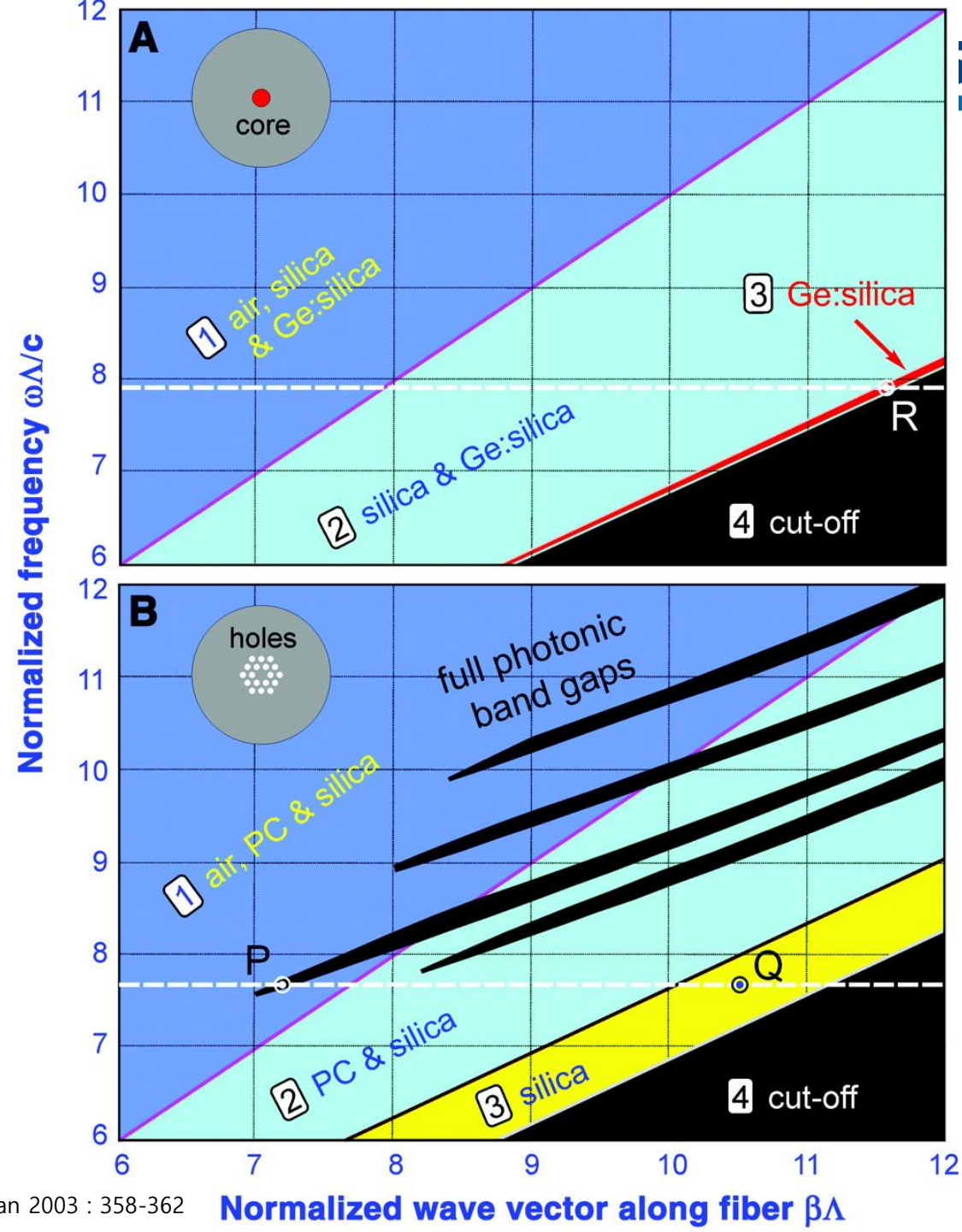
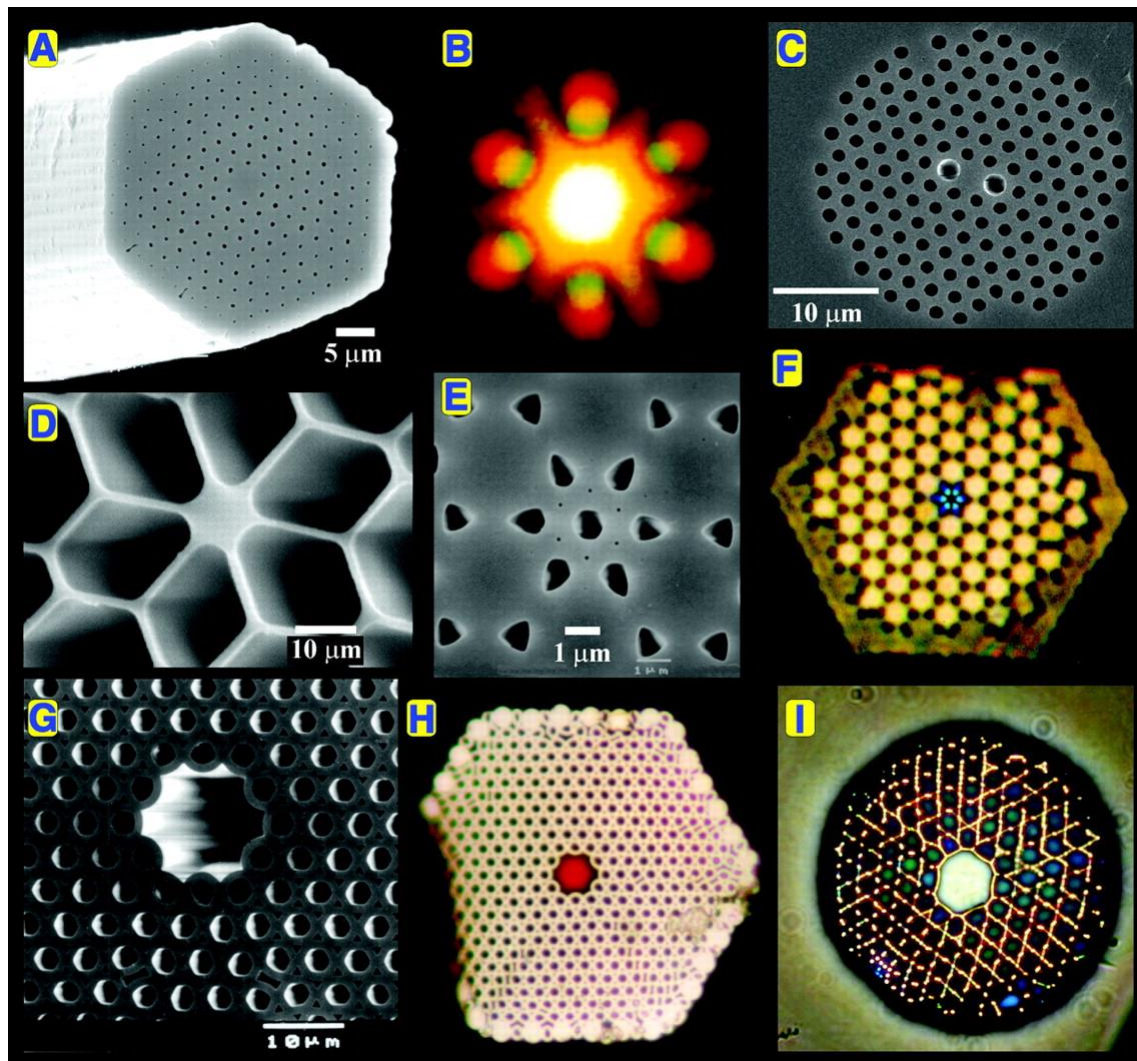
[www.thorlabs.com](http://www.thorlabs.com)





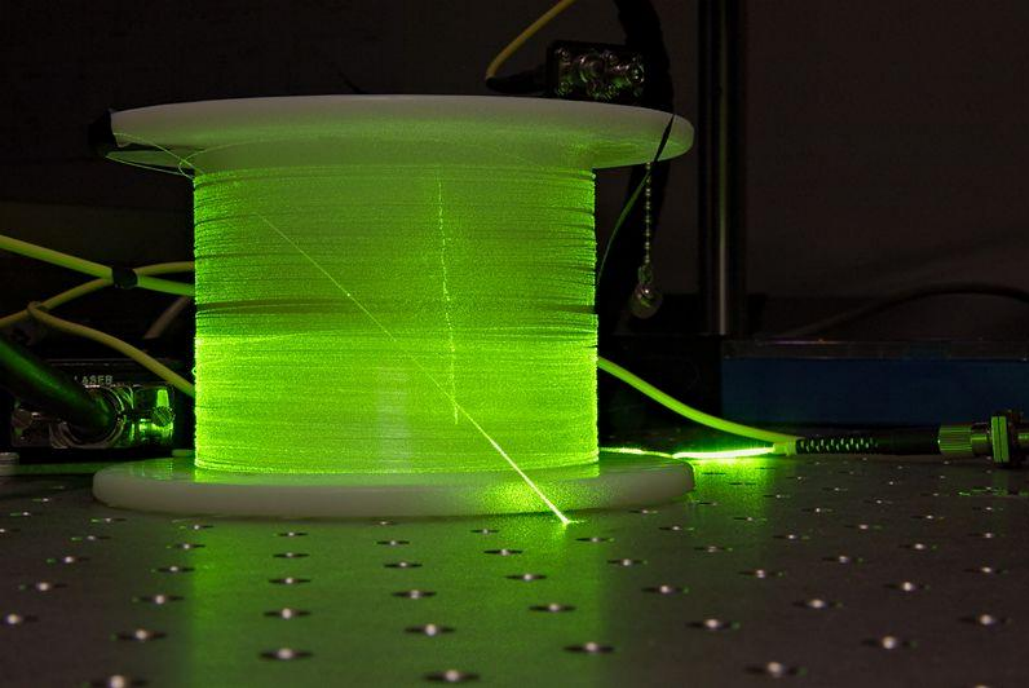


# Photonic crystal fibers

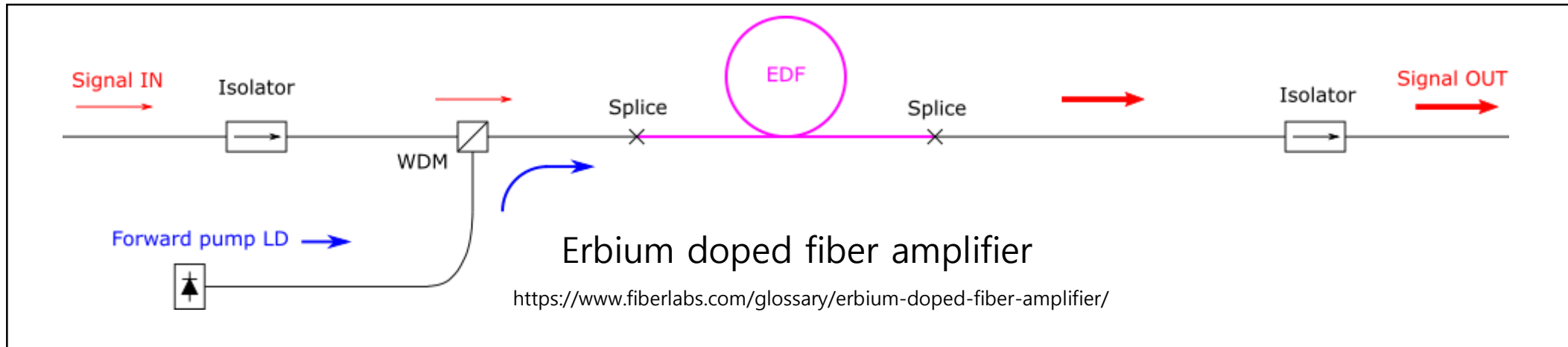
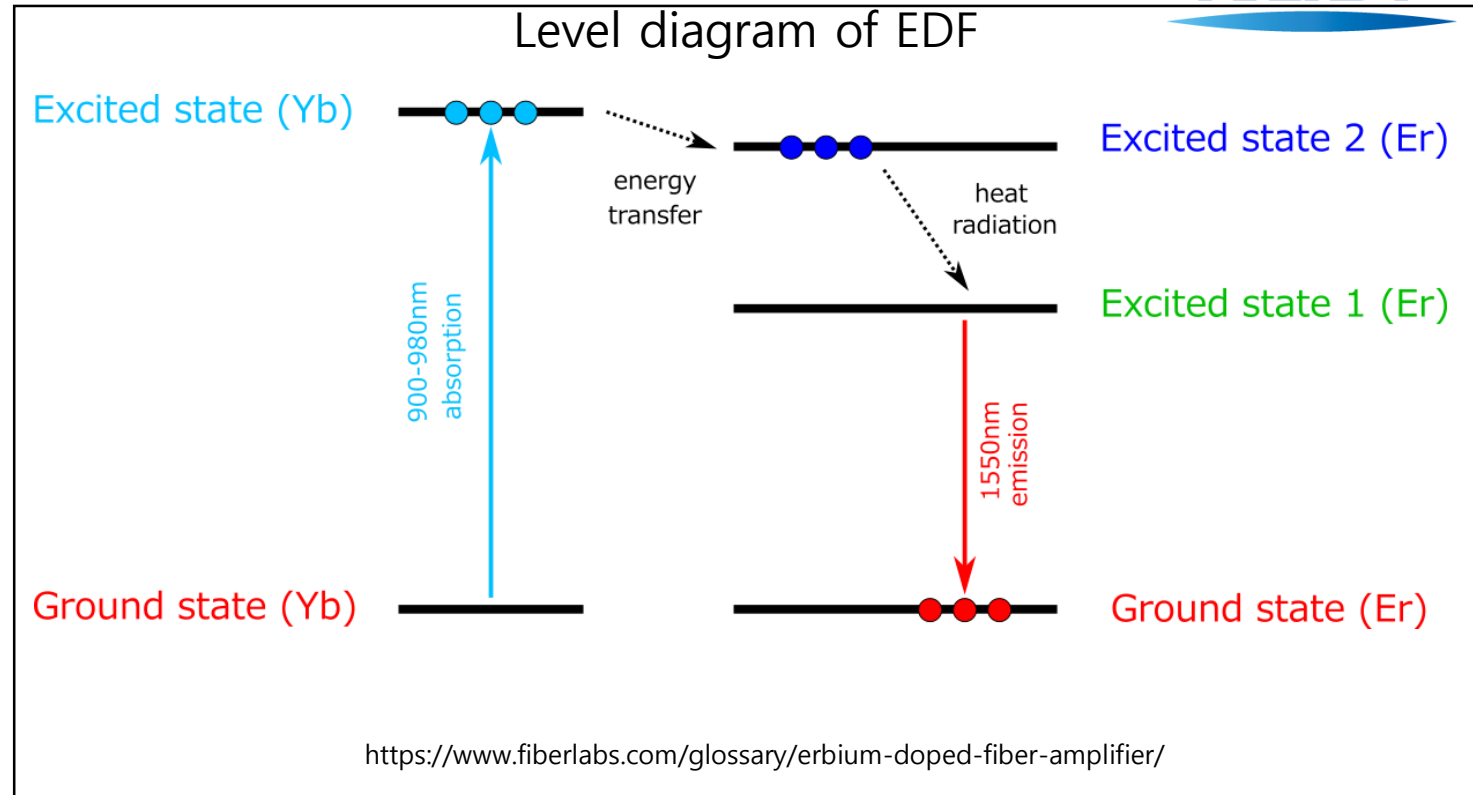




# Active fiber (rare-earth doped optical fibers)

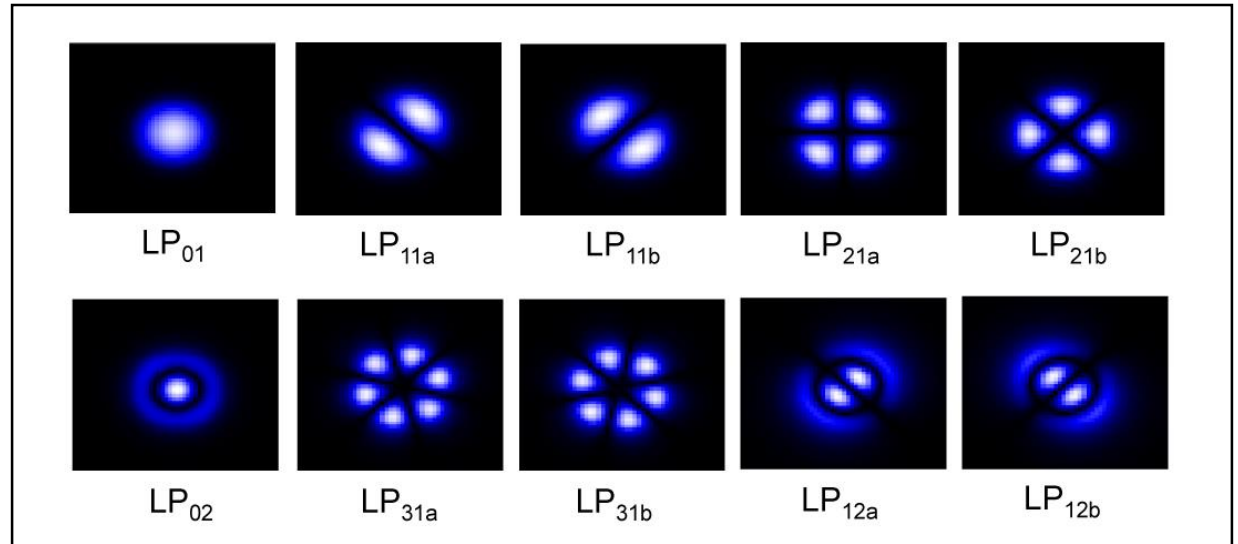
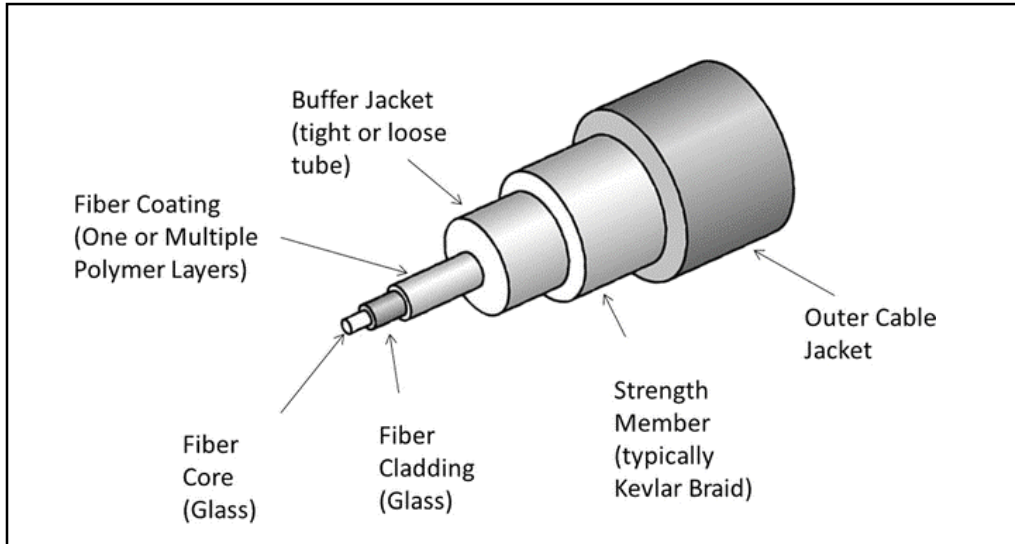


[https://commons.wikimedia.org/wiki/File:Erbium-doped\\_fiber\\_with\\_green\\_light.jpg](https://commons.wikimedia.org/wiki/File:Erbium-doped_fiber_with_green_light.jpg)



$$v \text{ parameter: } v = \frac{2\pi}{\lambda} a \cdot (\text{NA})$$

$$\text{Phase matching condition: } |n_j^A - n_j^B| \ll 1$$



### Useful references:

- Fundamentals of photonics (B. E. A. Saleh and M. C. Teich, Wiley)
- Fundamentals of optical waveguides (K. Okamoto, Academic press)
- Fundamentals of optical fibers (J. A. Buck, Wiley)
- Guided optics (J. Bures, Wiley)
- Principles of optical fiber measurements (D. Marcuse, Academic press)
- 초고속 광통신 기술 (신상영 외, 흥릉과학출판사)
- Understanding Optical Communications (H. J. R. Dutton, Prentice Hall)

Thank you for your  
attention

...any question?

## Corning, SMF-28e

### Cable Cutoff Wavelength ( $\lambda_{ccf}$ )

 $\lambda_{ccf} \leq 1260$  nm

### Mode-Field Diameter

Wavelength (nm)	MFD ( $\mu$ m)
1310	$9.2 \pm 0.4$
1550	$10.4 \pm 0.5$

### Dispersion

Wavelength (nm)	Dispersion Value [ps/(nm $\cdot$ km)]
1550	$\leq 18.0$
1625	$\leq 22.0$

Zero Dispersion Wavelength ( $\lambda_0$ ):  $1302$  nm  $\leq \lambda_0 \leq 1322$  nmZero Dispersion Slope ( $S_0$ ):  $\leq 0.089$  ps/(nm $^2$  $\cdot$ km)

### Polarization Mode Dispersion (PMD)

	Value (ps/ $\sqrt$ km)
PMD Link Design Value	$\leq 0.06^*$
Maximum Individual Fiber	$\leq 0.2$

\*Complies with IEC 60794-3: 2001, Section 5.5, Method 1, (m = 20, Q = 0.01%), September 2001.

## Performance Characterizations

Characterized parameters are typical values.

Core Diameter	8.2 $\mu$ m
Numerical Aperture	0.14 <i>NA is measured at the one percent power level of a one-dimensional far-field scan at 1310 nm.</i>
Zero Dispersion Wavelength ( $\lambda_0$ )	1313 nm
Zero Dispersion Slope ( $S_0$ )	0.086 ps/(nm $^2$ $\cdot$ km)
Refractive Index Difference	0.36%
Effective Group Index of Refraction ( $N_{eff}$ )	1310 nm: 1.4677 1550 nm: 1.4682
Fatigue Resistance Parameter ( $N_f$ )	20
Coating Strip Force	Dry: 0.6 lbs. (3N) Wet, 14-day room temperature: 0.6 lbs. (3N)
Rayleigh Backscatter Coefficient (for 1 ns Pulse Width)	1310 nm: -77 dB 1550 nm: -82 dB
Individual Fiber Polarization Mode Dispersion	0.02 ps/ $\sqrt$ km

## Dimensional Specifications

### Glass Geometry

Fiber Curl	$\geq 4.0$ m radius of curvature
Cladding Diameter	$125.0 \pm 0.7$ $\mu$ m
Core-Clad Concentricity	$\leq 0.5$ $\mu$ m
Cladding Non-Circularity	$\leq 0.7\%$

### Coating Geometry

Coating Diameter	$245 \pm 5$ $\mu$ m
Coating-Cladding Concentricity	$< 12$ $\mu$ m